

Ohio Department of Developmental Disabilities

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OFFICE OF PROVIDER STANDARDS AND REVIEW

**DIVISION OF
LEGAL AND OVERSIGHT**

ANNUAL REPORT

January 1, 2013 – December 31, 2013

The mission of the Ohio Department of Developmental Disabilities is continuous improvement of the quality of life for Ohio's citizens with developmental disabilities and their families.

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Types of Compliance Reviews

The Office of Provider Standards and Review (OPSR) conducts compliance reviews in licensed waiver funded settings, unlicensed waiver funded settings, licensed Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICFs/IID) and county boards. **In order to ensure consistency, a standardized review process is used across all settings.**

- **Compliance Review** – a regularly scheduled review of a provider conducted prior to the end of the provider’s term license, accreditation term or at least once every 3 years for non-licensed waiver settings. The review is conducted utilizing the same review tool.
- **Special Compliance Review** – an unscheduled review, which occurs due to identified concerns such as complaints, Major Unusual Incidents or adverse outcomes identified by other entities such as the Ohio Department of Health (ODH) or the Ohio Department of Job and Family Services (ODJFS).
- **New to the System Review** – a compliance review that occurs within one year of a new waiver provider’s initial submission of waiver billing.
- **Feasibility Review** – a review conducted prior to the licensing of a new facility to ensure compliance with physical environment standards.
- **Initial Reviews** – a review conducted within 45 days of the opening of a newly developed residential facility. Initial reviews result in a 1-year term license.
- **Plan of Compliance Verification** – a follow-up, performed either on-site or as a desk review to ensure that the provider has implemented the Plan of Compliance submitted in response to a compliance review.
- **Technical Assistance Review** – a review intended to give providers an introduction to Department of Developmental Disabilities (DODD) rules and an understanding of the processes and requirements that must be met in order for providers to be in compliance. Immediate health and welfare situations would be handled separately via a citation process. This type of review was conducted for Transitions Developmental Disabilities (TDD) providers and also for ICF/IID providers that transferred their license from ODH to DODD in 2013.
- **County Boards** – Accreditation reviews are conducted by the Ohio Department of Developmental Disabilities to ensure that County Boards of Developmental Disabilities meet accreditation standards as outlined in Ohio Administrative Code 5123:2-4-01. Through the accreditation process county boards are reviewed as a provider of service, if applicable, and as the administrative entity overseeing service delivery and waiver programs. Based on the results of an accreditation review, a County Board of DD is awarded an accreditation term of from one to four years.

2013 Transitions Developmental Disabilities Waiver Provider Reviews

In January 2013, the Transitions Developmental Disabilities (TDD) Waiver, serving approximately 2,900 individuals with developmental disabilities, was transferred to DODD from ODJFS. Along with this came 2000+ waiver providers who were new to the DODD system. OPSR conducted compliance reviews of agency and independent providers. Services of review included Waiver Nursing, Personal Care Aide, Adult Day Health Center, Home-Delivered Meals, Emergency Response, Home Modifications, Out of Home Respite, Supplemental Adaptive and Assistive Equipment and Supplemental Transportation.

The review process included a review of the service plans, delivery, implementation and documentation of the areas identified in plans. Individuals' records and documentation was reviewed including the Plans of Care. Provider and agency staff training requirements and credentials were checked. Agency staff background check information was reviewed.

Agency provider reviews were conducted at the providers' offices. Independent provider reviews were conducted by having independent providers sign up for a compliance review at a variety of locations throughout the state at various times of the day. Fifty-seven independent provider review sessions were offered. Phone interviews, face to face interviews and home visits with individuals and their families occurred in conjunction with the reviews.

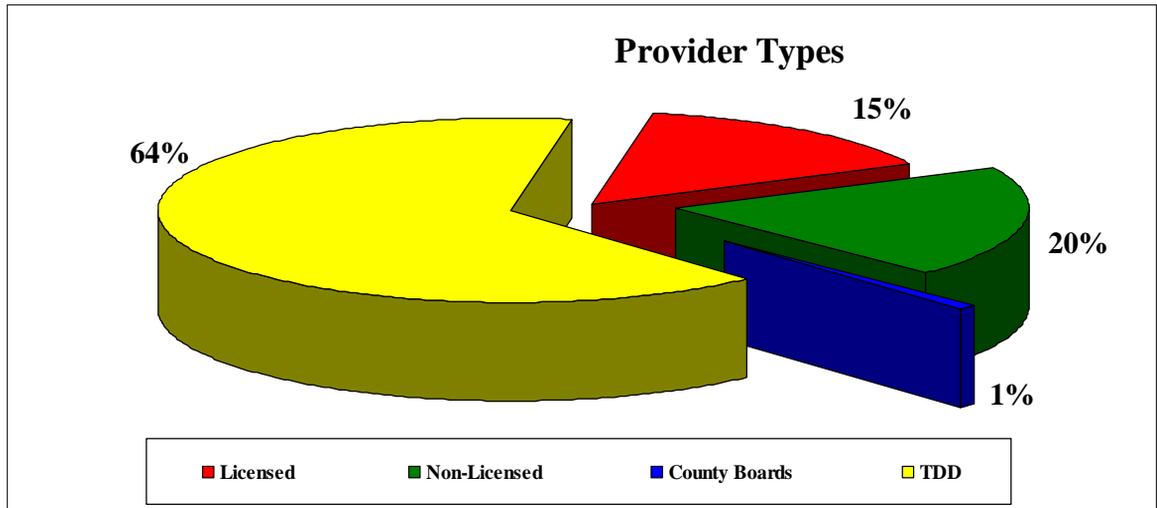
In 2013, the reviews focused on providing technical assistance to providers enabling them to better understand the expectations for compliance in the Ohio Department of Developmental Disabilities System. Citations were only issued when an individual's health and welfare were immediately at risk. Technical assistance reports were issued for any area of non-compliance that did not present an immediate impact on the individual's health and safety.

Although each provider was measured individually, there were common areas of strength and need. Areas identified as strengths include the knowledge of medical need, follow-up with physicians and care provided per the individual plan and the Plan of Care from the physician. Common areas of need include UI/MUI training, Individual Rights training, and behavior supports (in particular rights restrictions and mechanical restraint).

Providers received resources, direction and assistance to come into compliance and/or maintain compliance with the new rules that were established January 1, 2013. The County Boards of DD were made aware of the areas in which each provider received technical assistance.

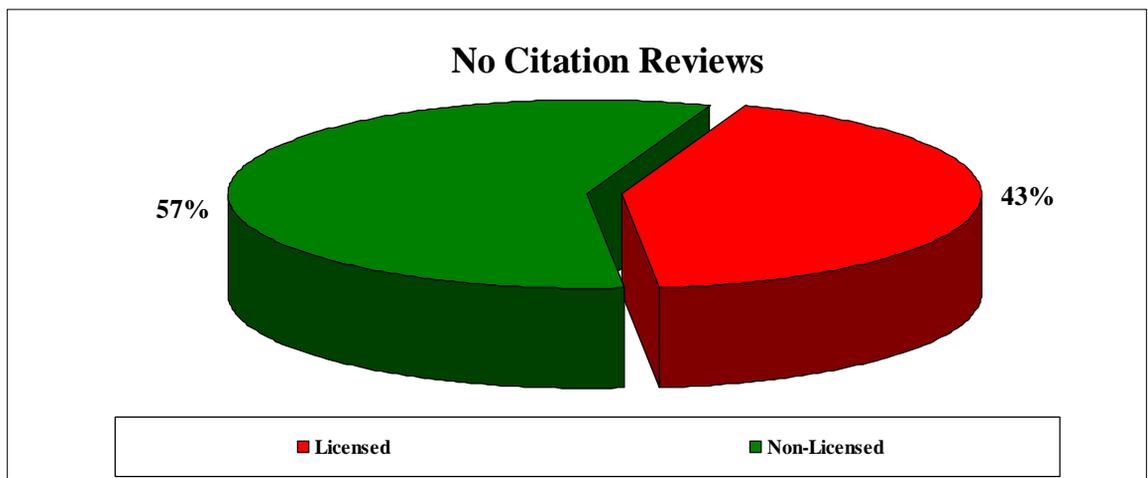
2013 Review Totals

The Office of Provider Standards and Review is made up of a staff of 49 employees, 35 of which are the Review Specialists who conduct the reviews. In 2013, the office conducted a total of 3,128 reviews throughout the state.



Licensed Settings (both Waiver Funded & ICF/DD)	472
Non-Licensed Waiver Settings (IO/Level 1)	618
TDD 1st Year Technical Assistance Reviews	2,020
County Board of Developmental Disabilities	<u>18</u>
Total Reviews	3,128

DODD is proud to recognize that of the 1,108 *standard compliance reviews* conducted in 2013, 459, or 41%, of the reviews resulted in No Citations to the provider (*excludes TDD technical assistance reviews*).



Licensed Settings (both Waiver Funded & ICF/IID)	196
Non-Licensed Waiver Settings	<u>263</u>
Total Reviews	459

MOST COMMON AREAS OF NON – COMPLIANCE STATEWIDE

Independent Providers Top Citations

- Current CPR certification
- Current first aid certification
- Service documentation did not include all required elements
- Service plan not implemented as written
- Annual MUI training not occurring

Agency Providers Top Citations

- BCII check not completed prior employment
- Staff did not have an Abuser Registry check completed prior to direct contact with individuals
- Staff did not have a Nurse Aide Registry check completed prior to direct contact with the individuals
- Service plan not being implemented as written
- Rights restrictions implemented by provider without Human Rights/Behavior Support Committee approval

ICF/IID Providers Top Citations

- IP not implemented as written
- Physical environment concerns
- Medications, treatments, and dietary orders not being followed
- Unreported incidents
- IP not being revised based on changes in the individual's needs/wants

County Board Accreditation Review Top Citations

- Service plans fail to describe services delivered from Adult Day Service providers
- Rights restrictions not reviewed by Human Rights and Behavior Support Committees
- Failure to meet MUI/UI requirements for procedures and logs
- Early Intervention – services not being delivered at the frequency identified in the Individual and Family Service Plan
- Early Intervention – Individual and Family Service Plans not addressing assessed needs

Day Services Review Top Citations

- Staff orientation training not conducted within 90 days of employment
- First year employee requirements- mentor; on the job training; 8 hours of training specific to the day service, not being met
- Background checks not completed on staff
- Physical environment concerns

Non-Medical Transportation Top Citations

- Background checks not completed
- Training requirements not met
- Documentation issues
- Drug testing not completed
- Billing for wrong service

Trainings Provided by OPSR in 2013

In 2013, OPSR staff provided training to the field on various topics. In all, 1,585 constituents received training throughout the state.

TOPIC	LOCATION	DATE	# IN ATTENDANCE
Provider Compliance	Columbus Developmental Center	2/1/13	12
	Columbus Developmental Center	4/12/13	4
	Miami County	6/11/13	20
	Columbus Developmental Center	9/12/13	3
	Columbus Developmental Center	11/6/13	4
	Columbus Developmental Center	12/16/13	4
Review Process	Franklin Co. Provider Council	2/20/13	25
	PAR Session - Delaware CBDD	3/14/13	26
	Franklin Co. Assoc. of Childcare	6/21/13	10
Accreditation Process	ODOT - Columbus	11/12/13	115
Licensure/ICF Streamlining	OPRA Conference, Dublin	4/17/13	42
	OHCA Conference	3/6/13	35
	HELP Foundation, Cleveland	8/14/13	22
Behavior Support/ Individual Rights	Gallia County Board of DD	11/5/13	35
	OACB Conference - Columbus	12/6/13	100
Update on SSA Rule	PAR Cleveland Region	3/28/13	33
	Franklin Co. – SSA Directors	7/24/13	20
	SSA Forum - Columbus	8/28/13	290
Self Advocacy	Franklin Co.	2/8/13	40
	Logan County Board of DD	3/8/13	101
DODD Rule Updates	OACB Conference, Columbus	12/5/13	220
Non-Medical Transportation	OACB Conference, Columbus	12/6/13	16

Trainings Provided by OPSR in 2013

(Continued)

TOPIC	LOCATION	DATE	# IN ATTENDANCE
DODD Overview for ICFs - Behavior Support/Individual Rights/Provider Compliance Process	Orange Village Care Ctr. ICF, Masury	3/18/13	45
	UCM Res. Services. ICF, Union City	3/20/13	37
	Broadfield Care Center ICF, Madison	3/26/13	15
	Madison Village ICF, Madison	7/30/13	12
	Countryview Manor ICF, Carrolton	7/31/13	19
	Heritage Manor ICF, Minster	8/7/13	24
	Foundations ICF, New Paris	8/8/13	81
	Palm Crest ICF, Elyria	8/12/13	21
	Luther Home of Mercy, Minster	8/13/13	63
Oak Grove Manor ICF, Mansfield	8/21/13	15	
CMO (Software database for entering reviews)	Columbus Developmental Center	5/22/13	2
	Columbus Developmental Center	5/30/13	4
	Columbus Developmental Center	6/3/13	4
	Columbus Developmental Center	6/4/13	8
	Columbus Developmental Center	6/5/13	8
	Columbus Developmental Center	6/10/13	6
	Columbus Developmental Center	6/12/13	8
	Columbus Developmental Center	6/13/13	7
	Columbus Developmental Center	6/14/13	6
	Columbus Developmental Center	7/8/13	5
	Columbus Developmental Center	7/12/13	3
	Columbus Developmental Center	9/12/13	3
	Columbus Developmental Center	11/6/13	4
	Columbus Developmental Center	12/2/13	4
	Columbus Developmental Center	12/16/13	4

2013 Initiatives in Licensed Settings

In 2013, DODD continued work on three major initiatives related to the operation of licensed residential facilities.

ODH to DODD License: This program was initiated to correspond with the transfer of the administration of the ICF/IID program to DODD. This initiative fostered the transfer of licenses from the Ohio Department of Health to the Ohio Department of Developmental Disabilities for 12 facilities that had chosen to remain licensed by ODH. The transfer of these licenses will bring under one department the regulatory responsibility to license the facility ensuring consistency with the licensure review process. HB 487 required that the 12 facilities terminate their ODH license and apply for a DODD license no later than July 1, 2013. All 12 of the facilities affected by this requirement successfully completed the licensure process by the July 1, 2013 deadline and received their initial 1 year license from DODD. Throughout 2013 DODD staff from the Office of Provider Standards and Review conducted an on-site technical assistance training visits as well as “mock” licensure surveys with all of the new facilities to assist the facility operators in becoming familiar with DODD rules and regulatory processes. All of the facilities are now operating under a DODD License and will now be undergo a regular licensure review based on the end of their existing 1 year term license.

Streamlining Committee: In 2013, DODD staff from the Office of Provider Standards and Review continued to participate on the Streamlining Committee with our partners from the Ohio Department of Health and other stakeholders. The committee submitted a streamlining proposal to the Center for Medicare and Medicaid Services (CMS) for review and comment. Comments received from CMS suggested that the Committee needed to look at other alternatives to streamline the review process for ICF/IIDs. The Streamlining Committee will continue its work into 2014 with the ultimate goal of ensuring the development of a quality driven regulatory review process for ICF/IIDs.

ICF Voluntary Conversion: This initiative gives ICF providers the opportunity to voluntarily convert an existing ICF/IID funded bed to a waiver funded bed for individuals who would prefer to receive Home and Community-Based Services (HCBS) in a community-based setting. The ICF certification of a converted bed is surrendered and the bed is removed from the state-wide total of ICF beds. This effort will not only assist the state in our long-term care rebalancing efforts, but will provide individuals with the opportunity to receive services in their preferred setting. In 2013, a total of 62 ICF certified beds were successfully converted to waivers. This allowed 62 individuals to be enrolled on an HCBS Waiver and receive their services in a community setting.

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Compliance Reviews of Licensed Settings

Waiver Funded Licensed Facilities – A residential facility operated by a certified HCBS waiver provider, which is licensed by the Ohio Department of Developmental Disabilities and serves individuals with disabilities enrolled in a Home and Community-Based Services (HCBS) waiver program. The Ohio Revised Code, Section 5123.19, mandates reviews of all facilities licensed by the department. The review results in the licensee being issued a license to operate the facility. A facility may receive a 1, 2 or 3-year term license based on the outcome of the review.

Intermediate Care Facility for Individuals with Developmental Disabilities (ICF/IID) – A residential facility, which is licensed by the Ohio Department of Developmental Disabilities and certified by the Ohio Department of Health. The Ohio Revised Code, Section 5123.19, mandates reviews of all facilities licensed by the department. The review results in the licensee being issued a license to operate the facility. A facility may receive a 1, 2 or 3-year term license based on the outcome of the review.

In 2013, there were 1,094 licensed facilities in operation.

Those facilities were categorized as:

10 Developmental Centers

422 ICF/IID Facilities

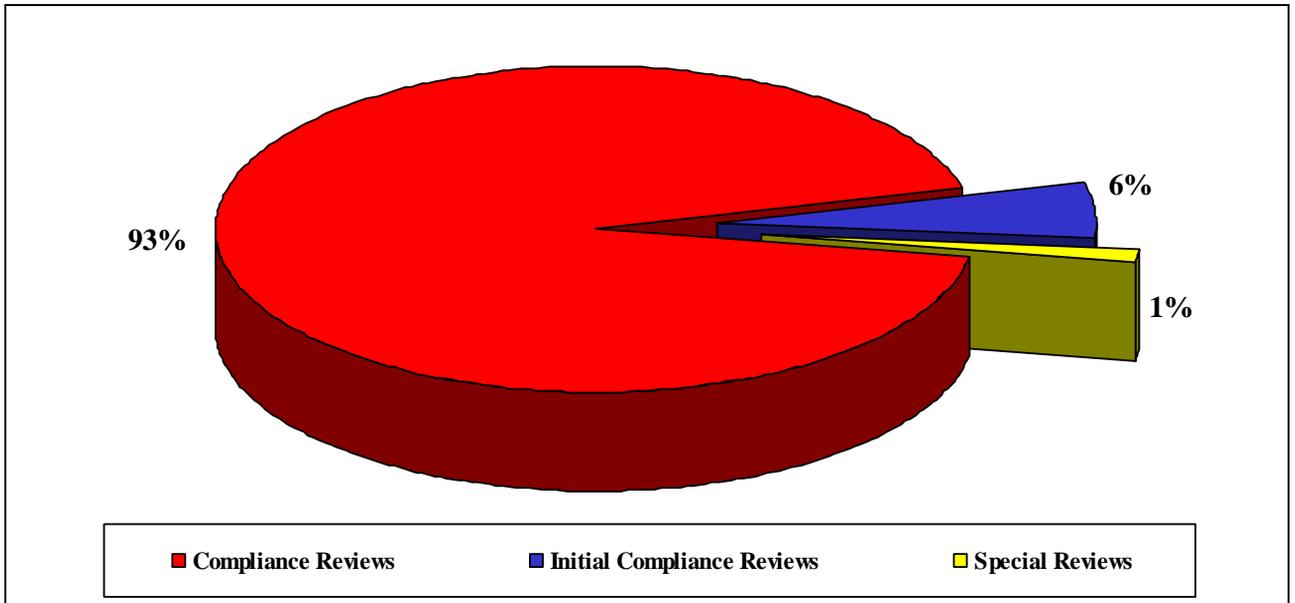
662 Non-ICF/IID Facilities

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Compliance Reviews of Licensed Settings

Between January 1, and December 31, 2013, the department conducted 472 compliance reviews in licensed settings.

Of the 472 compliance reviews conducted during 2013, 439 were regular compliance reviews, 27 were initial reviews, and 6 were special reviews.

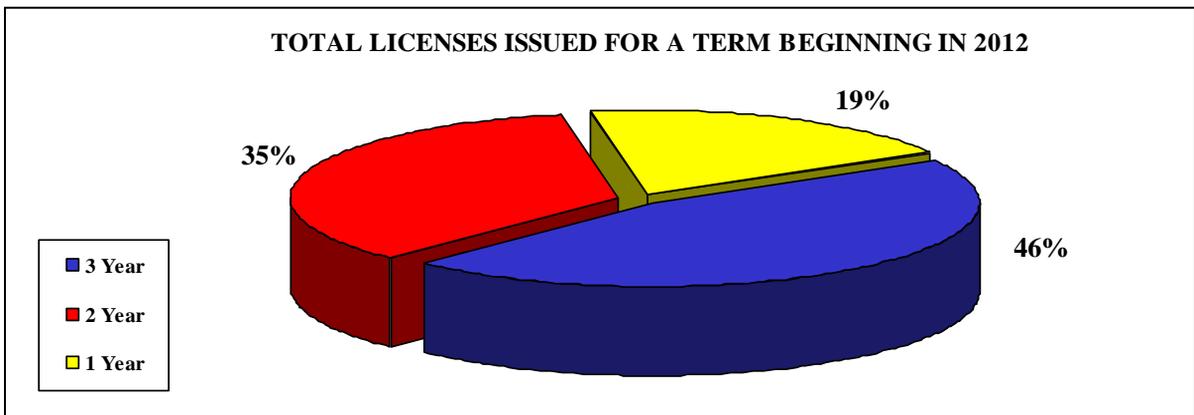
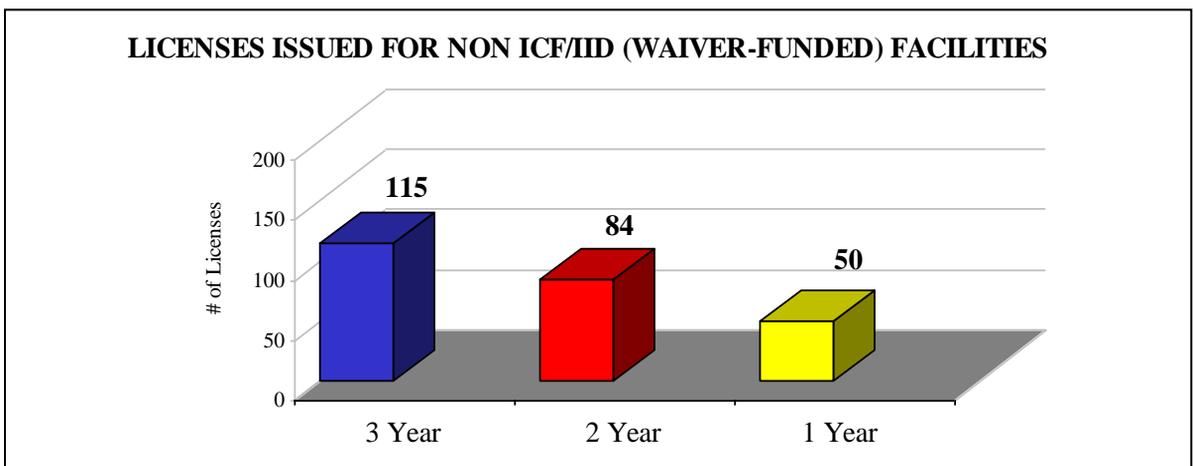
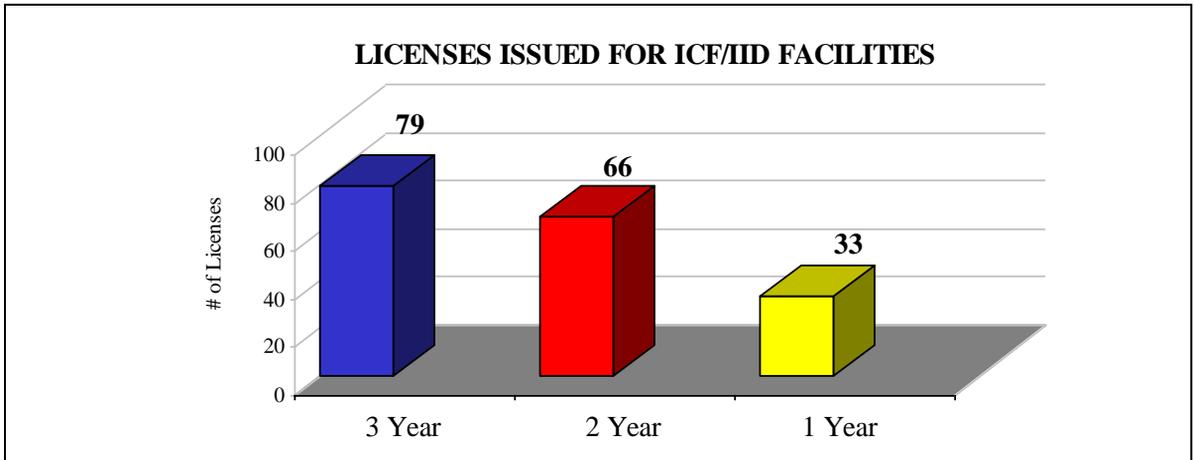


Compliance Reviews *	439
Initial Reviews	27
Special Reviews	<u>6</u>
Total	472

* Result in a 1, 2 or 3-year term license

LICENSE TERMS

Licenses issued for a term between January – December 2013:

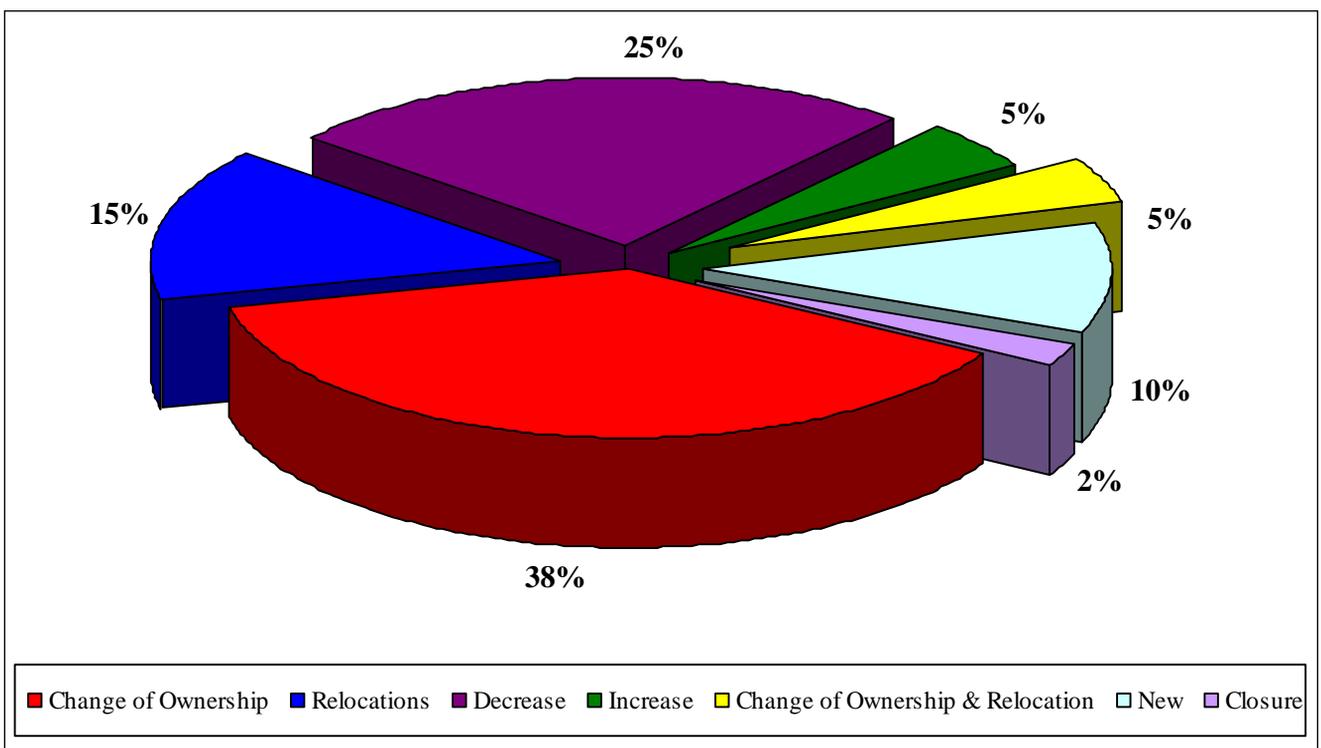


3 Year	194
2 Year	150
1 Year	83
Total	427

License terms are determined based on the results of Compliance Reviews. A facility undergoing an Initial Review will only be issued a one year term license.

DEVELOPMENT

The State of Ohio has capped the maximum number of licensed beds available in the state. There are no new beds available for development, but existing beds can undergo changes such as relocation, change of ownership and increases and decreases in the capacity of a facility. The Ohio Department of Developmental Disabilities is committed to the vision of community-based services for individuals with developmental disabilities and has worked hand in hand with providers to assist in the evaluation of development proposals. In 2013, OPSR processed 87 of these types of developments. For each development that resulted in the licensed beds being moved to another location, DODD is required to complete a feasibility review to ensure that the new facility meets the physical environment requirements outlined in the Ohio Administrative Code. In 2013, OPSR completed 20 feasibility reviews.



Action Table

Change of Ownerships	33
Decrease in Capacity	22
Relocations (entire facility relocates to a new location)	13
New *	9
Increase in Capacity	4
Change of Ownership & Relocation	4
Closure (permanent closure of a facility)	2
Total	87

* New = Facilities previously licensed by Ohio Department of Health

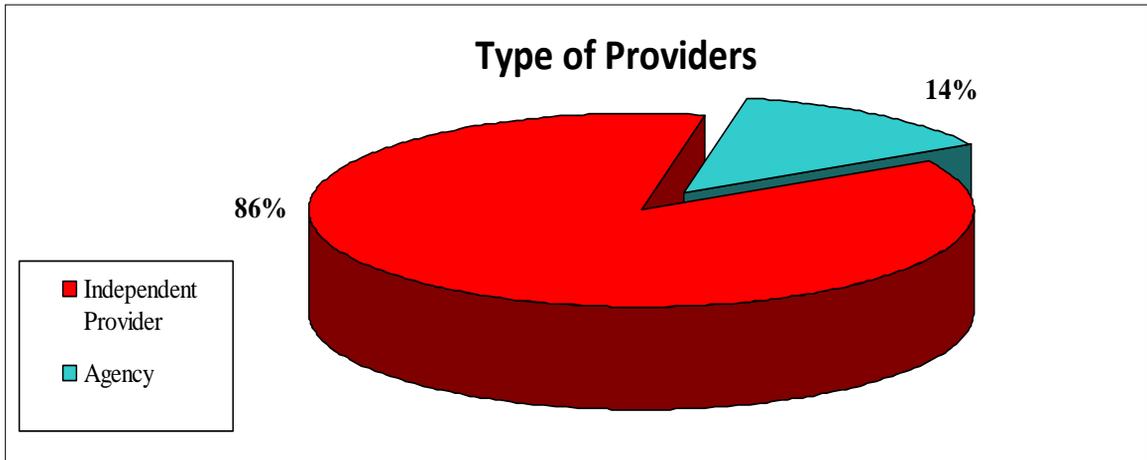
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Compliance Reviews of Community-Based Waiver Services

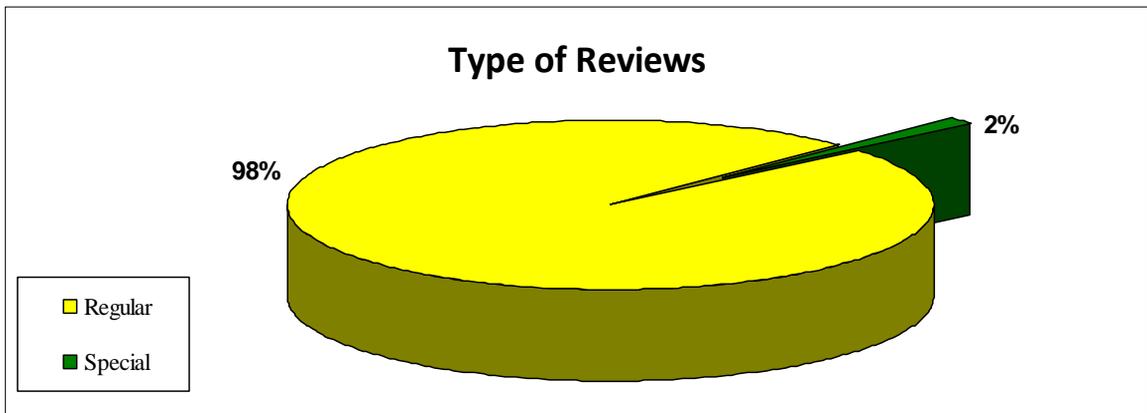
A variety of Home and Community-Based Services (HCBS) are provided in the homes and family homes of waiver recipients. Certified HCBS Waiver Providers deliver Services through four waivers programs – Individual Options (IO), Level One (L1), Transitions Developmental Disabilities (TDD) and the Self-Empowered Live Funding (SELF) waiver. These waivers encompass a variety of services - Homemaker Personal Care, Transportation, Adult Day Services, Waiver Nursing, Personal Care Aide, Adult Day Health Center, Supplemental Transportation and ancillary services such as Environmental and Home Modifications, Social Work, Nutrition and Adaptive & Assistive Equipment, Remote Monitoring, Home-Delivered Meals, Emergency Response and Respite.

Compliance reviews for HCBS providers are conducted by the Ohio Department of Developmental Disabilities and by the County Boards of Developmental Disabilities. Ohio Administrative Code 5123:2-9-08 requires certified HCBS providers to be reviewed once during the period of certification to ensure compliance with continuing certification standards of the services provided.

In 2013, the department conducted **2,638 regular, technical assistance and special compliance reviews** of both Agency and Independent Providers in non-licensed settings. In 2013, there were 534 newly certified providers subject to review within the year after first billing. Of that total, 483 were reviewed, of which 99.8% were conducted within the year. Twenty-two providers of these providers were revoked or relinquished their certification.



Agency Reviews	361
Independent Provider Reviews	<u>2,277</u>
Total Reviews	2,638

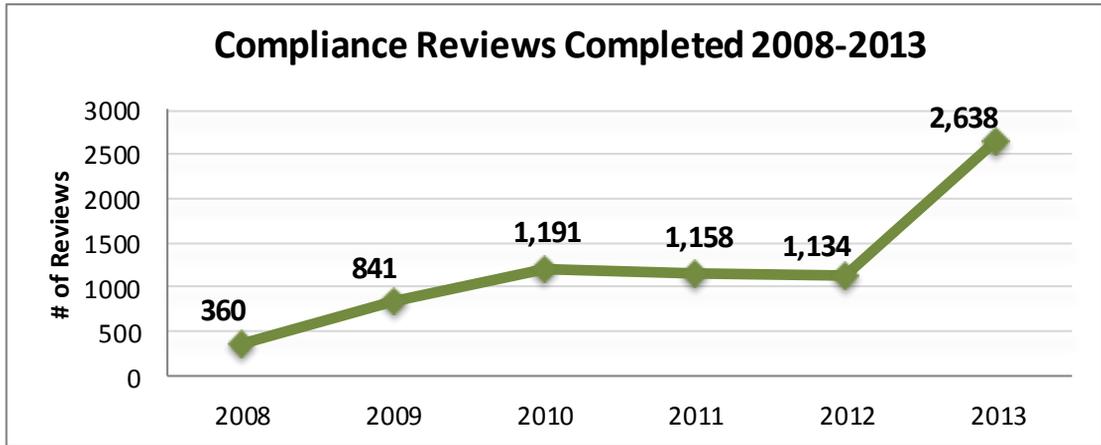


Regular Reviews	2,598
Special Reviews	<u>40</u>
Total Reviews	2,638

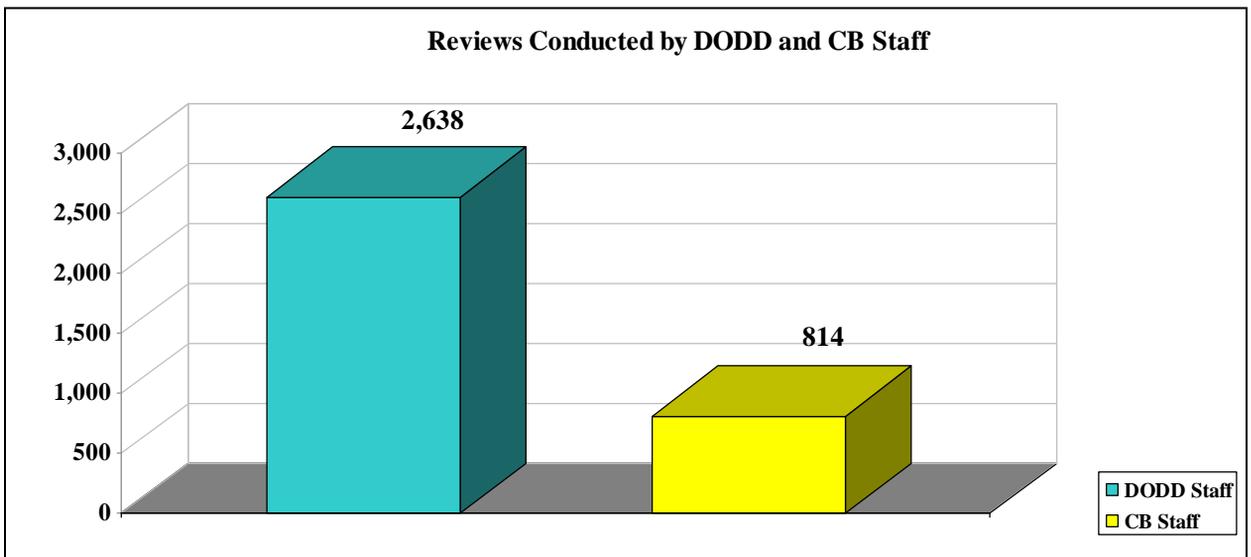
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Compliance Reviews of Community-Based Waiver Services

The chart below highlights the increase in the number of compliance reviews community-based waiver services from 2008 through 2013.



In addition to the 2,638 reviews conducted by DODD review staff, there were 814 Provider Compliance reviews conducted by County Board staff around the state in 2013. DODD shares the responsibility for conducting compliance reviews of certified waiver providers with the county boards.



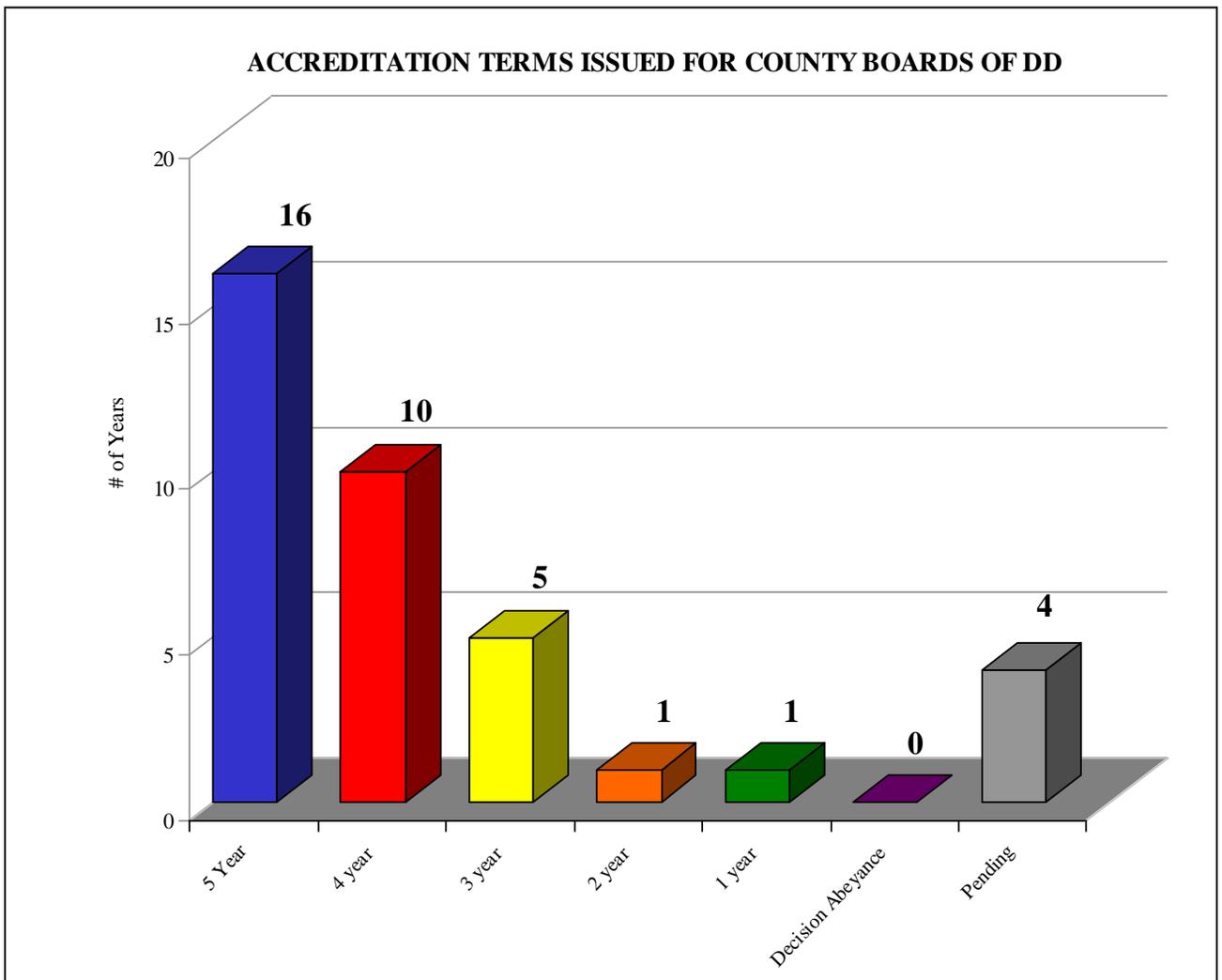
Reviews conducted by DODD staff	2,638
Reviews conducted by CB staff	814
Total	3,452

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Compliance Reviews of County Boards of DD

County Boards of DD – the County Boards have administrative oversight responsibilities for the delivery of services to individuals with disabilities in each county of the state. In addition to administrative oversight, a County Board of DD may also be a certified HCBS waiver provider of adult day services to individuals with developmental disabilities within the county. The administrative oversight of the delivery of services is reviewed for all County Boards of DD and for those County Boards which are also certified as an HCBS waiver provider. The County Board undergoes the same review process as any certified provider of waiver services when providing Home and Community-Based Services (HCBS) services.

Thirty-seven counties were reviewed in 2013. The results of those surveys are as follows:



ADVERSE OUTCOMES

Medicaid Fraud

The Ohio Department of Developmental Disabilities is committed to identifying and eliminating fraud. We view it as our collective responsibility to safeguard the limited resources available to Ohio Medicaid recipients. Providers are responsible for ensuring that Medicaid billings are accurate and that they are only billing for services for which they are authorized through the Individual Service Plan (ISP) and have provided. The County Boards and Councils of Government (COGs) provide local oversight and monitoring to ensure services are provided in accordance with the plan. Additional oversight is provided by the Department. The Department receives referral information regarding possible fraudulent activity and presents it to the Office of the Attorney General through bi-weekly Medicaid Fraud Control Unit Meetings.

In 2013, four DODD certified providers were convicted of Medicaid fraud, which matches the previous year's number. Additionally, DODD made seven referrals to the Attorney General's Office for possible fraudulent activities. A conviction of Medicaid fraud results in revocation of the provider's certification and/or license.

Suspension, Revocation and Abeyance

The Department of Developmental Disabilities is committed to educating providers of the standards that must be followed and assisting them in maintaining compliance with rules through technical assistance and support. However, in some cases when good cause exists, the Department may initiate sanctions against a provider. Suspension or revocation proceedings of a provider's certification(s) or license(s) may be initiated if the Department finds one or more of the following:

- Substantial violation(s) of applicable requirements when violation(s) present a risk to an individual's health and welfare
- A pattern of non-compliance with either plans of compliance that have been accepted by the county board or those plans of compliance that the department has approved in accordance with this rule
- A pattern of continuing non-compliance with applicable requirements
- A licensed provider has had their license revoked by the licensing authority
- Other good cause, including misfeasance, malfeasance, nonfeasance, confirmed abuse or neglect, financial irresponsibility, or other conduct the director determines is injurious to individuals being served
- Placement on the Abuser Registry
- Conviction of Medicaid Fraud

AGENCY SANCTIONS – JANUARY 1 – DECEMBER 31, 2013

The following charts identify sanctions imposed on **Agency Providers** (for both *licensed settings* and providers of waiver services in *non-licensed settings*), as well as **Independent Waiver Providers**. They are separated by ‘Suspension Issued Only’, ‘Suspension & Revocation Issued’ and ‘Revocation Issued Only’ for both groups.

ONLY SUSPENSION ISSUED

<u>Provider</u>	<u>Suspension Imposed</u>	<u>Suspension Lifted</u>
<i>The following Agency Suspensions were <u>resolved</u> in 2013 - total: 13</i>		
Direct Support Professionals of Ohio, LLC	10/25/12	03/28/13
Choices and Goals, Inc.	12/26/12	06/12/13
ARCA, Inc.	01/11/13	02/27/13
Quest for Independence	01/11/13	04/03/13
Empowerment Homes, Inc.	03/13/13	06/27/13
Inter-Responsive in Situ - IRIS 1 *	05/15/13	11/25/13
Inter-Responsive in Situ - IRIS 2 *	05/15/13	11/25/13
G&D Alternative Living	07/18/13	10/10/13
G&D Children's Home *	07/18/13	10/10/13
First Priority Home Care Services	07/24/13	10/10/13
Aristocrat Berea *	08/06/13	11/27/13
Caritas Home Healthcare & Supported Living	09/12/13	10/31/13
Cardinal Learning Center	10/30/13	12/19/13
<i>The following Agency Suspensions were <u>pending</u> as of 12/31/13 - total: 4</i>		
Mary Mcleod-Bethune Intervention & Enrichment Center	05/30/12	
Kellis Home *	07/11/13	
Guardian Friends	08/29/13	
Get U There Transportation	09/12/13	

* Indicates licensed home

AGENCY SANCTIONS – JANUARY 1 – DECEMBER 31, 2013

SUSPENSION & REVOCATION INITIATED

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Suspension Lifted</u>	<u>Revocation Withdrawn</u>
<i>The following Agency Certification Suspensions and/or Revocations were <u>lifted</u> in 2013 - total: 3</i>			
Potter Family Home *	9/6/12 / 12/6/12		11/26/13
Your Independence	12/31/12	01/24/13	01/24/13
Unique Health Services	6/28/13 / 9/6/13		12/19/13

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Revocation Adjudicated</u>
<i>The following Agency Suspensions & Revocations were <u>adjudicated</u> in 2013 - total: 4</i>		
Tracy's Creative Solutions, LLC	08/16/12	05/17/13
Higher Visions, LLC	08/29/12	11/07/13
Complete Home Health Services, Inc.	10/22/12	06/25/13
Hearts of Hope Institute, Inc.	01/11/13	05/31/13
<i>The following Agency Suspensions & Revocations were <u>pending</u> as of 12/31/13 - total: 21</i>		
Cherish Life, Inc.	10/11/12	
Shelby's Guardian Angels	12/26/12	
Mid-Ohio Home Health Care	1/25/2013 / 5/15/2013	
Whitehaven Farm	03/26/13	
Helping Handz	4/5/2013 / 8/21/2013	
Guardian Eyes	04/12/13	
Ohio Supports, Inc.	04/24/13	
New Hope Specialized Services	06/25/13	
Specialized Group Services	06/25/13	
Heartfelt LLC	06/28/13	
Open Arms	06/28/13	
Total to Care Home Health Care	07/11/13	
Caring People Help People	08/06/13	
Miller Foster Home Care Center LLC	08/09/13	
Miller Foster Home Nursing Service & Supported Living	08/09/13	
Miller Foster Home Care Center LLC *	08/09/13	
Medina Creative Accessibility	10/17/13	
Bolden Homes	10/30/13	
Safeway Medical Transportation & Professional, Inc.	12/23/13	
Dobb, Inc.	12/23/13	
Hands of Care Ltd.	12/24/13	

* Indicates licensed home

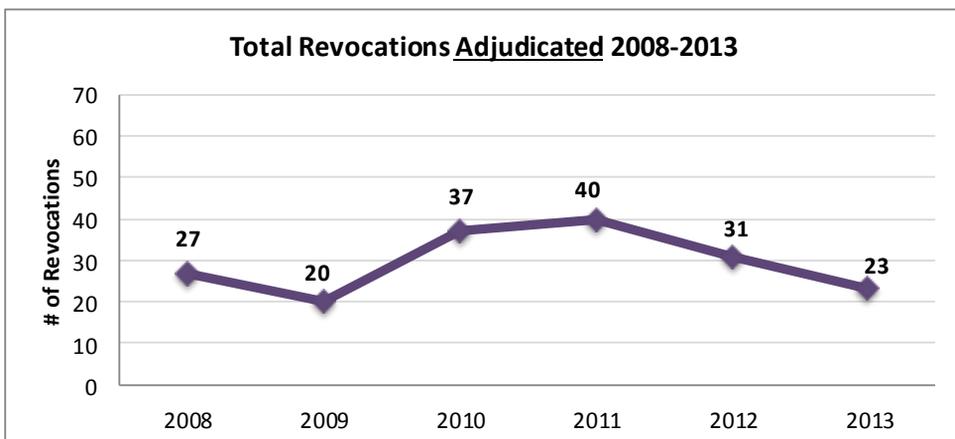
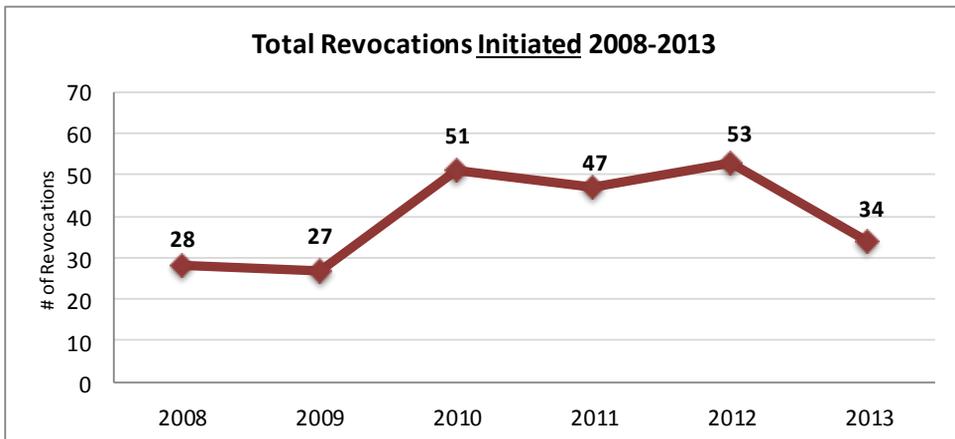
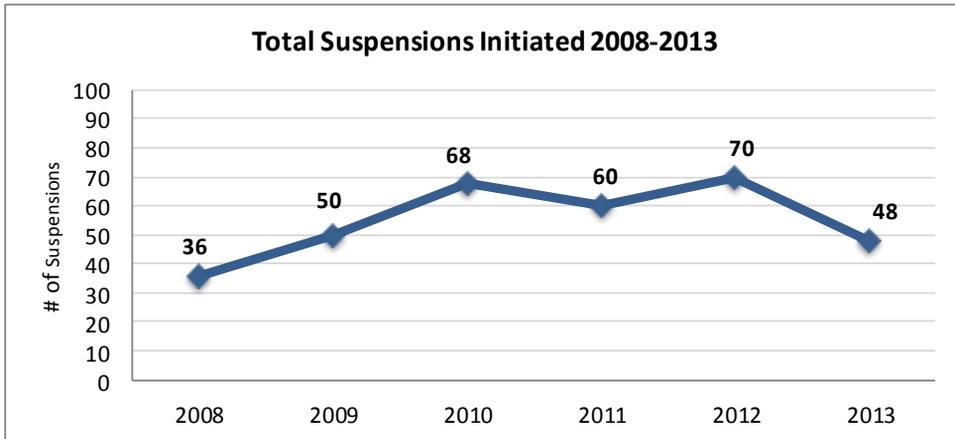
INDEPENDENT PROVIDER SANCTIONS – JANUARY 1 – DECEMBER 31, 2013

SUSPENSIONS & REVOCATIONS INITIATED

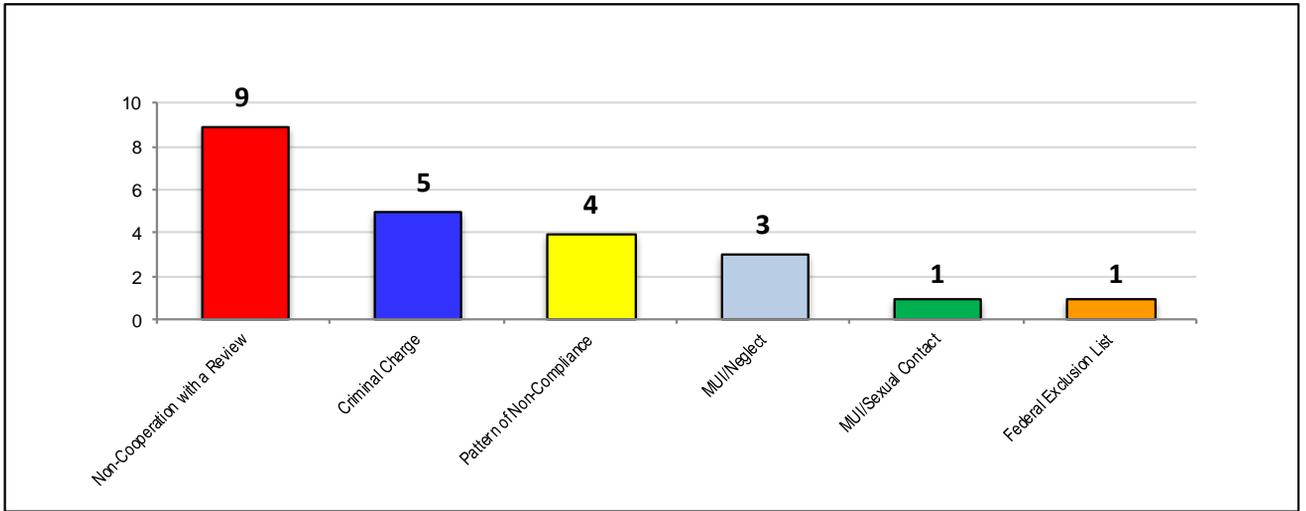
<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Certifications Revoked</u>
<i>The following Independent Provider Suspensions & Revocations were <u>adjudicated</u> in 2013 - total: 19</i>		
Hervie Jackson	06/13/11 / 03/29/12	05/23/13
Kris Snyder	03/05/12	01/11/13
Derek Mares	03/15/12 / 01/04/13	05/17/13
Carrie Boonie	03/21/12 / 08/3/12	01/24/13
Valinda Hanson-Baker	06/12/12	02/04/13
Shannon Laszlo	08/22/12	01/24/13
Christina S. Smith	09/10/12	01/11/13
Jozelia Grace	09/10/12	01/11/13
Penny Duckwiler	09/18/12	01/11/13
Carrie Taylor	09/18/12	01/11/13
Teresa Leeth	09/18/12	01/11/13
Joann Isaac	09/18/12	01/11/13
David Erhold	10/11/12	02/22/13
Mary Dortch	10/22/12	01/11/13
Shannon Magill	11/08/12	05/17/13
Beverly Moon	11/08/12	12/21/13
Grace Mason	12/28/12	05/17/13
Linda Stage	01/04/13	05/17/13
Tammie Proffitt	04/23/13	09/10/13
<i>The following Independent Provider Suspensions & Revocations were <u>pending</u> as of 12/31/13 - total: 11</i>		
Bettye Sanders	06/12/12	
Angela Edge	11/8/2012 / 5/15/13	
Cassandra Spivey	01/04/13	
Jamie Smith-e-Incas	01/25/13	
Mortica Edwards	01/25/13	
Chris Davis	04/24/13	
Tina Hollingsworth	06/28/13	
Renata McLane	06/28/13	
Asha Harris	09/12/13	
Ingrid Jagroop	09/25/13	
Timothy Freeman	10/10/13	

Comparable Sanction Data 2008- 2013

In 2013, OPSR *initiated* 48 suspensions of certifications and/or licenses and 33 revocation notifications. Twenty-three revocations were *adjudicated*. The charts below show the sanction statistics over the past six years.



Offenses Resulting in Revocation in 2013



Non-Cooperation with a Review	9
Criminal Charge	5
Pattern of Non-Compliance	4
MUI/Neglect	3
MUI/Sexual Contact	1
Federal Exclusion List	<u>1</u>
TOTAL	23