

Ohio Department of Developmental Disabilities

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OFFICE OF PROVIDER STANDARDS AND REVIEW

**DIVISION OF
LEGAL AND OVERSIGHT**

ANNUAL REPORT

January 1, 2012 – December 31, 2012

The mission of the Ohio Department of Developmental Disabilities is continuous improvement of the quality of life for Ohio's citizens with developmental disabilities and their families.

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Types of Compliance Reviews

The Office of Provider Standards and Review (OPSR) conducts compliance reviews in licensed waiver funded settings, unlicensed waiver funded settings, licensed Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICFs/IID) and county boards. **In order to ensure consistency, a standardized review process is used across all settings.**

- **Compliance Review** – a regularly scheduled review of a provider conducted prior to the end of the provider’s term license, accreditation term or at least once every 3 years for non-licensed waiver settings. The review is conducted utilizing the same review tool.
- **Special Compliance Review** – an unscheduled review, which occurs due to identified concerns such as complaints, Major Unusual Incidents or adverse outcomes identified by other entities such as the Ohio Department of Health or the Ohio Department of Job and Family Services.
- **New to the System Review** – a compliance review that occurs within one year of a new waiver provider’s initial submission of waiver billing.
- **Feasibility Review** – a review conducted prior to the licensing of a new facility to ensure compliance with physical environment standards.
- **Initial Reviews**– a review conducted within 45 days of the opening of a newly developed residential facility. Initial reviews result in a 1-year term license.
- **Plan of Compliance Verification** – a follow-up, performed either on-site or as a desk review to ensure that the provider has implemented the Plan of Compliance submitted in response to a compliance review.
- **Advocacy & Protective Services, Inc. (APSI) Program Reviews** – a review of all six regional offices. An onsite review of all APSI representatives’ training requirements, as well as a random sample of at least one individual per representative. Documentation reviews consisted of determining how often representatives met with the individual, types of interactions, review of advocacy goals and report timelines as required by the courts.
- **County Boards** – Accreditation reviews are conducted by the Ohio Department of Developmental Disabilities to ensure that County Boards of Developmental Disabilities meet accreditation standards as outlined in Ohio Administrative Code 5123:2-4-01. Through the accreditation process county boards are reviewed as a provider of service, if applicable, and as the administrative entity overseeing service delivery and waiver programs. Based on the results of an accreditation review, a County Board of DD is awarded an accreditation term of from one to four years.

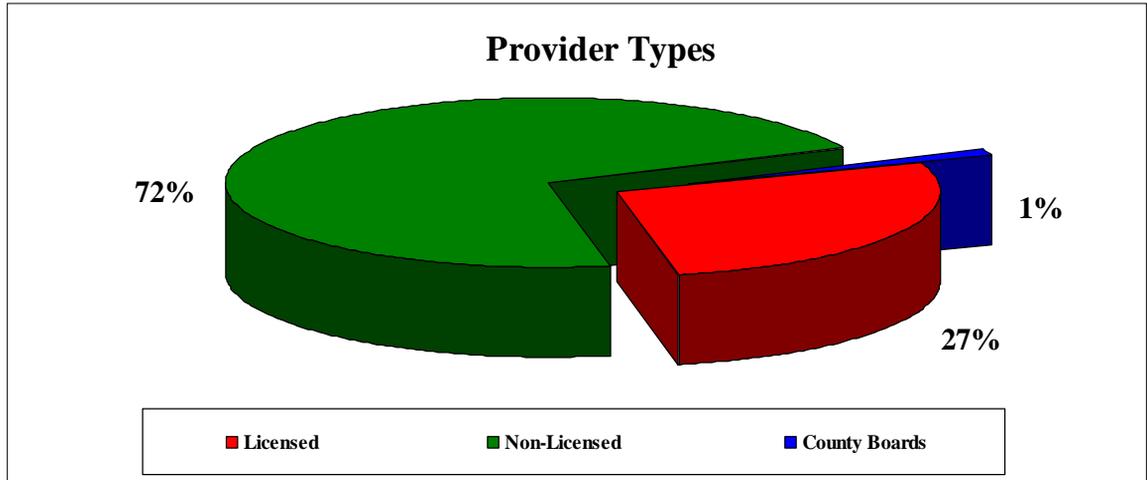
APSI Reviews

During 2012, DODD conducted compliance reviews of Advocacy and Protective Services, Inc. (APSI) in each of their ten regional offices throughout the State of Ohio. During the onsite reviews, a protocol was used to review documentation, interview APSI Representatives and talk with stakeholders who support individuals that are served by APSI.

Although each office reflected its own unique approach, the reviews identified some common strengths and areas for improvement statewide. Areas identified as strengths included awareness of the strategic plan, use of an electronic documentation system, training plans for representative personnel, use of medical specialists and regular visits with individuals served by APSI. Common areas for improvement included the need to examine caseload acuity, the need for more descriptive documentation of individual advocacy goals, the identification of trends and patterns from paper documents and a need for additional training in Home and Community-Based Services (HCBS) Waiver requirements.

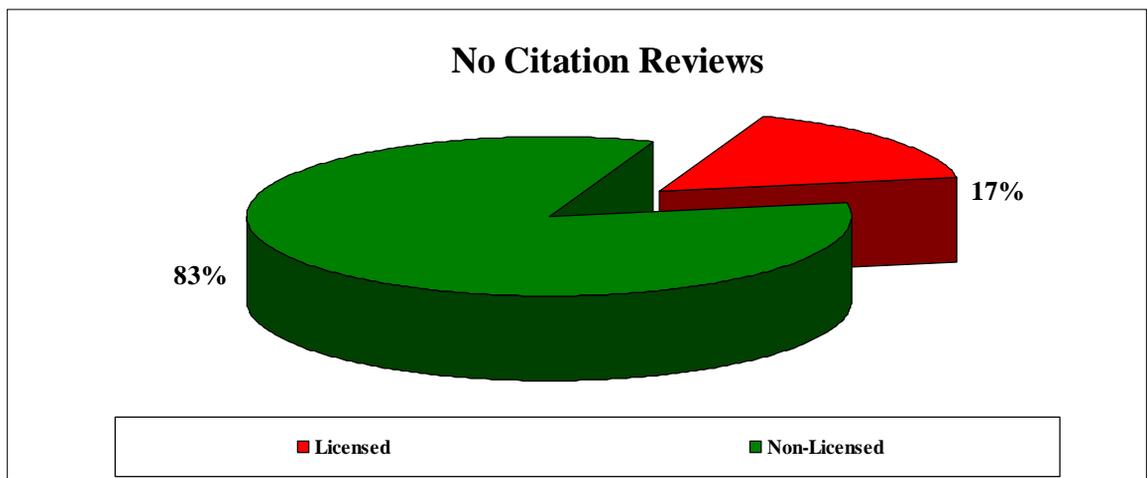
2012 Review Totals

The Office of Provider Standards and Review is made up of a staff of 42 employees, 29 of which are the Review Specialists who conduct the reviews. In 2012, the office conducted a total of 1,587 reviews throughout the state.



Licensed Settings (both Waiver Funded & ICF/IID)	435
Non-Licensed Waiver Settings	1,134
County Board of Developmental Disabilities	<u>18</u>
Total Reviews	1,587

DODD is proud to recognize that of the 1,587 reviews conducted in 2012, 986, or 62%, of the reviews resulted in No Citations to the provider.



Licensed Settings (both Waiver Funded & ICF/IID)	262
Non-Licensed Waiver Settings	<u>724</u>
Total Reviews	986

MOST COMMON AREAS OF NON – COMPLIANCE STATEWIDE

Independent Providers Top Citations

- Annual MUI training
- Annual training on the Rights of Individuals with DD
- Service plan implemented as written
- Current CPR certification
- Current first aid certification

Agency Providers Top Citations

- Staff receive initial training prior to providing services with individuals
- Staff have a Nurse Aide Registry check completed prior to direct contact with the individuals
- Staff have an Abuser Registry check completed prior to direct contact with individuals
- Service plan being implemented as written
- Non-Medical Transportation, staff receive testing for controlled substances and was the staff person found to be drug free prior to providing transportation services

ICF/IID Providers Top Citations

- IP being implemented as written
- Medications, treatments, and dietary orders being followed
- IP revised based on changes in the individual's needs/wants
- Any unreported incidents
- Interior, exterior and grounds of the building maintained in good repair and in a clean and sanitary manner

County Board Accreditation Review Top Citations

- Personnel – Background and Abuser Registry checks
- Behavior Support – Development and Committee Review
- MUI/UI – Procedures and Logs
- Individual and Family Service Planning and Assessment
- Early Intervention – Service Planning and Documentation

Trainings Provided by OPSR in 2012

In 2012, OPSR staff provided training to the field on various topics. In all, 2,879 constituents received training throughout the state.

TOPIC	LOCATION	DATE	# IN ATTENDANCE
Provider Compliance	Columbus Developmental Center	1/26/12	7
	Tiffin Developmental Center	1/31/12	22
	Lucas County Board of DD	2/1/12	16
	Summit County Board of DD	2/6/12	18
	Delaware County Board of DD	2/8/12	22
	Ross County Board of DD	2/13/12	15
	Montgomery County Board of DD	2/14/12	22
	Columbus Developmental Center	2/23/12	28
	Muskingum Valley Educ. Serv. Ctr.	3/5/12	19
	Columbus Developmental Center	4/11/12	25
	Online Webinar	5/14/12	10
	Online Webinar	9/19/12	20
	Lorain County Board of DD	11/15/12	7
Quality Assurance	ARC Conference – Columbus	3/28/12	195
	People First Conf. - Wilmington	3/23/12	300
	OSDA Project Stir Mtg. – Delaware	4/19/12	70
Review Process	OACB Conference – Columbus	5/11/12	213
	Family Advisory Council - Columbus	9/25/12	50
Documentation Requirements	Southern State Community College	11/14/12	17
Accreditation Process	County Board 2013 Review Prep - Columbus	10/26/12	150
	Williams County Board of DD	1/23/12	30
	OACB Conference - Columbus	12/7/12	6
Future of ICFs & Licensure	OPRA Conference	9/25/12	70
Behavior Support/ Individual Rights	Mercer County	10/12/12	23
Update on SSA Rule	OACB Conference	12/5/12	200
Self Advocacy & Accreditation Process	OACB Conference	12/6/12	140

Trainings Provided by OPSR in 2012

(Continued)

TOPIC	LOCATION	DATE	# IN ATTENDANCE
TDD Waiver Providers: Behavior Support/Individual Rights/Provider Compliance Process	Columbus	10/4/12	76
	Cincinnati	10/9/12	64
	Tiffin	10/26/12	76
	Athens	10/30/12	49
	Akron	11/1/12	79
	Ashtabula County	11/8/12	24
	Clark County	11/15/12	17
	On-Line Webinar	11/28/12	407
	On-Line Webinar	12/17/12	210
Day Habilitation	Lucas County Board of DD	1/26/12	25
	Champaign County Board of DD	11/29/12	50
DODD Overview for ICFs	Ashtabula County	11/8/12	15
	Clark County	11/15/12	4
Non-Medical Transportation	OACB Conference	12/5/12	50
CMO (Software database for entering reviews)	Columbus Developmental Center (all)	9/12/12	7
		9/13/12	6
		9/14/12	6
		9/17/12	7
		9/26/12	7
		9/28/12	5

2012 Initiatives in Licensed Settings

In 2012, DODD launched three major initiatives related to the operation of licensed residential facilities.

ODH to DODD License: This program was initiated to correspond with the transfer of the administration of the ICF/IID program to DODD. This initiative fostered the transfer of licenses from the Ohio Department of Health to the Ohio Department of Developmental Disabilities for 12 facilities that had chosen to remain licensed by ODH. The transfer of these licenses will bring under one department the regulatory responsibility to license the facility ensuring consistency with the licensure review process. HB 487 required that the 12 facilities terminate their ODH license and apply for a DODD license no later than July 1, 2013. As of December 31, 2012, three facilities applied for and received a DODD license. As part of the process, DODD has created a Technical Assistance Team that is providing training and support to these providers during their first year of operation under the new license.

Streamlining Committee: In 2012, staff from the Office of Provider Standards and Review began working with the Office of Healthcare Transformation on an initiative to streamline the regulatory review process for ICFs/IID. ICFs/IID are currently certified by the Ohio Department of Health and licensed by the Ohio Department of Developmental Disabilities. In 2013, the Committee will explore the potential of allowing ODH and DODD to rely on each others' findings, which could lead to a reduction in surveyor time on site at ICFs/IID.

ICF Voluntary Conversion: This initiative gives ICF providers the opportunity to voluntarily convert an existing ICF/IID funded bed to a waiver funded bed for individuals who would prefer to receive Home and Community-Based Services (HCBS) in a community-based setting. Individuals will have the opportunity to use the funding they currently receive in the ICF to fund the waiver. Since the funding will be used for the waiver, the provider will surrender the ICF certification for the bed that the individual is currently occupying. This effort will not only assist the state in our long-term care rebalancing efforts, but will provide individuals with the opportunity to receive services in their preferred setting.

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Compliance Reviews of Licensed Settings

Waiver Funded Licensed Facilities – A residential facility operated by a certified HCBS waiver provider, which is licensed by the Ohio Department of Developmental Disabilities and serves individuals with disabilities enrolled in a Home and Community-Based Services (HCBS) waiver program. The Ohio Revised Code, Section 5123.19, mandates reviews of all facilities licensed by the department. The review results in the licensee being issued a license to operate the facility. A facility may receive a 1, 2 or 3-year term license based on the outcome of the review.

Intermediate Care Facility for Individuals with Developmental Disabilities (ICF/IID) – A residential facility, which is licensed by the Ohio Department of Developmental Disabilities and certified by the Ohio Department of Health. The Ohio Revised Code, Section 5123.19, mandates reviews of all facilities licensed by the department. The review results in the licensee being issued a license to operate the facility. A facility may receive a 1, 2 or 3-year term license based on the outcome of the review.

In 2012, there were 1,095 licensed facilities in operation.

Those facilities were categorized as:

10 Developmental Centers

421 ICF/IID Facilities

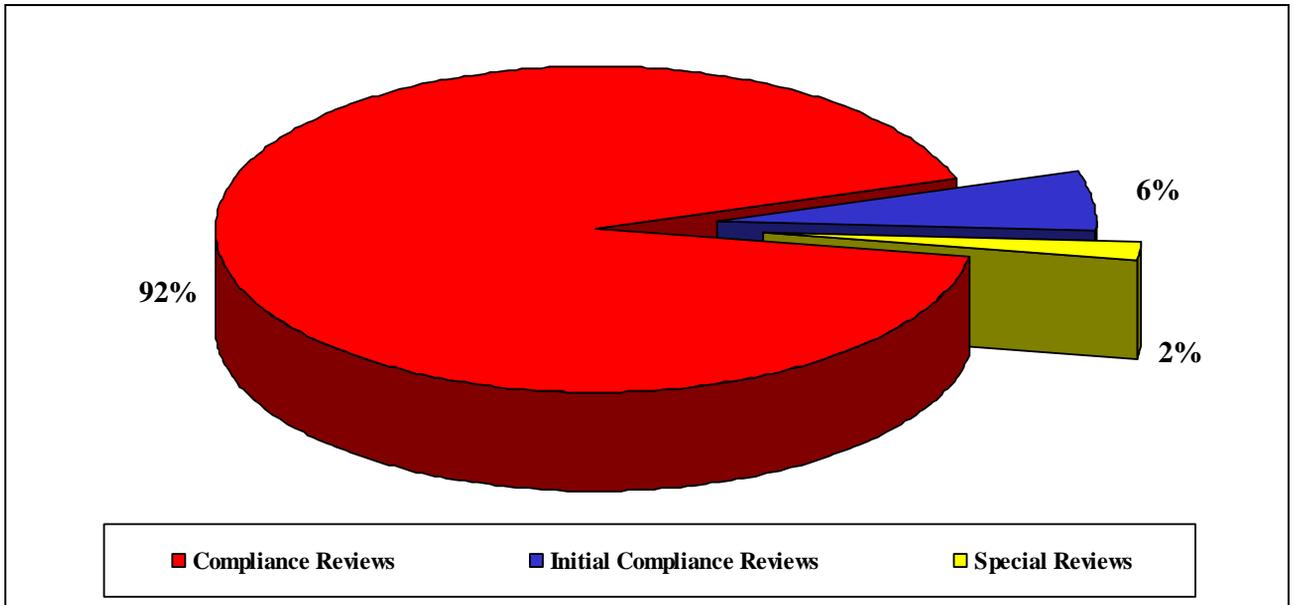
664 Non-ICF/IID Facilities

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Compliance Reviews of Licensed Settings

Between January 1, and December 31, 2012, the department conducted 435 compliance reviews in licensed settings.

Of the 435 compliance reviews conducted during 2012, 401 were regular compliance reviews, 26 were initial reviews, and 8 were special reviews.

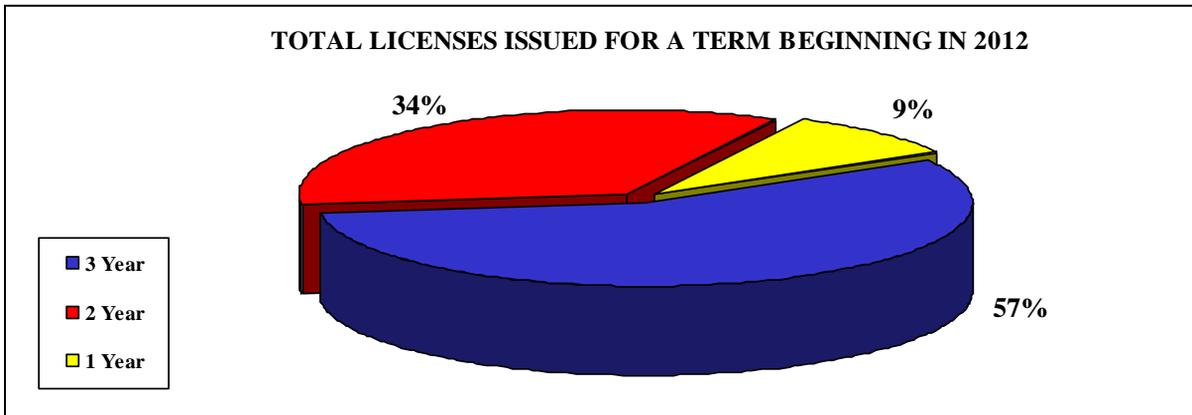
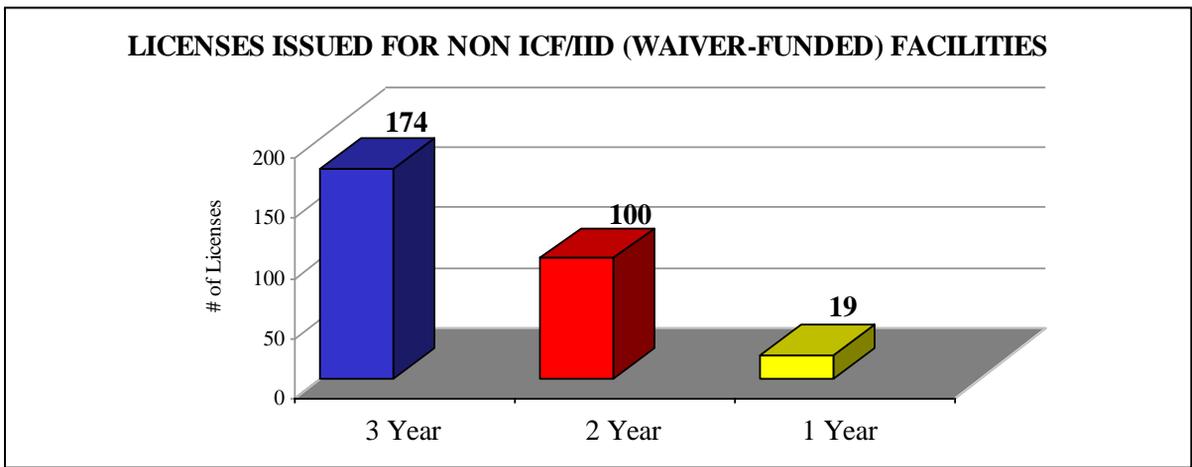
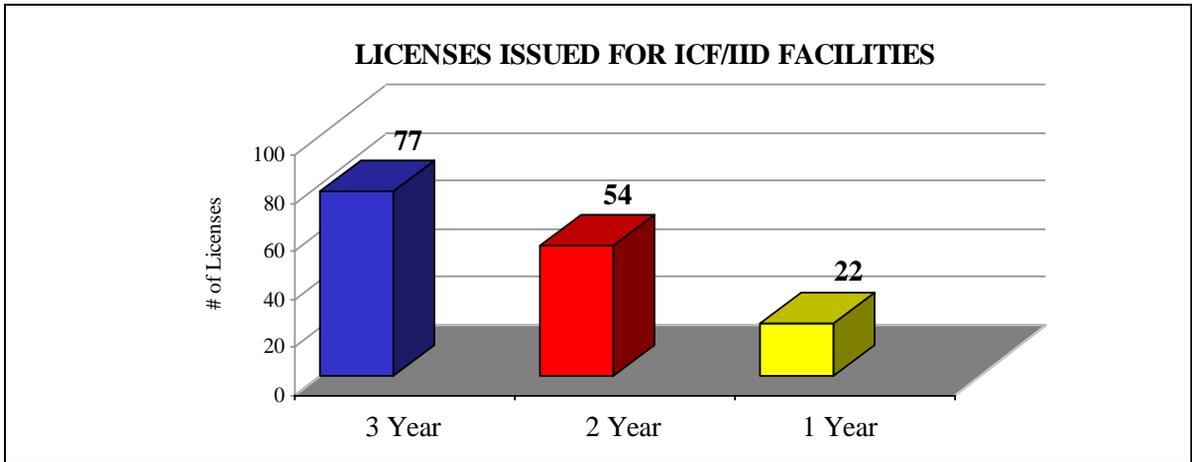


Compliance Reviews *	401
Initial Reviews	26
Special Reviews	<u>8</u>
Total	435

* Result in a 1, 2 or 3-year term license

LICENSE TERMS

Licenses issued for a term between January – December 2012:

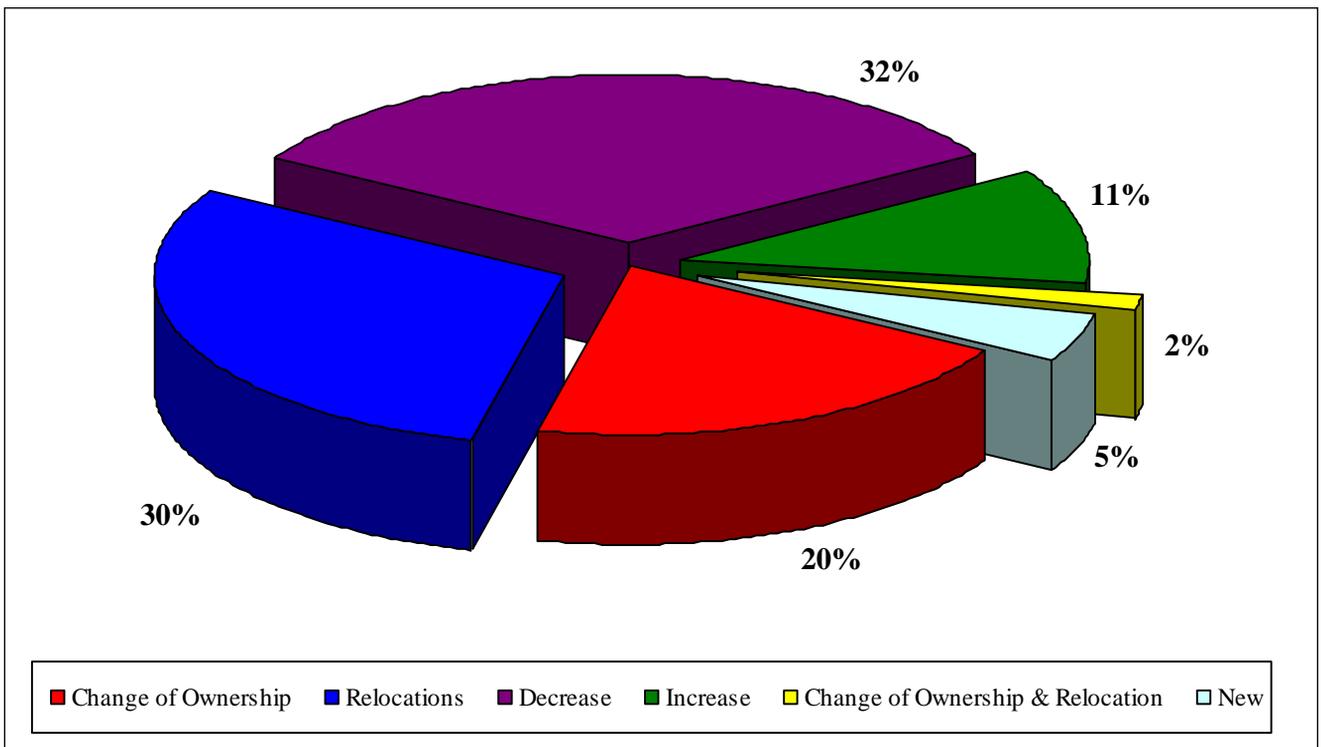


3 Year	251
2 Year	154
1 Year	41
Total	446

License terms are determined based on the results of Compliance Reviews. A facility undergoing an Initial Review will only be issued a one year term license.

DEVELOPMENT

The State of Ohio has capped the maximum number of licensed beds available in the state. There are no new beds available for development, but existing beds can undergo changes such as relocation, change of ownership and increases and decreases in the capacity of a facility. The Ohio Department of Developmental Disabilities is committed to the vision of community-based services for individuals with developmental disabilities and has worked hand in hand with providers to assist in the evaluation of development proposals. In 2012, OPSR processed 64 of these types of developments. For each development that resulted in the licensed beds being moved to another location, DODD is required to complete a feasibility review to ensure that the new facility meets the physical environment requirements outlined in the Ohio Administrative Code. In 2012, OPSR completed 16 feasibility reviews.



Action Table

Decrease in Capacity	21
Relocations (entire facility relocates to a new location)	19
Change of Ownerships	13
Increase in Capacity	6
New *	3
Change of Ownership & Relocation	1
Consolidations**	1
Total	64

* New = Facilities previously licenses by Ohio Dept. of Health

** Consolidations = consolidation of previously separately licensed buildings on the same campus

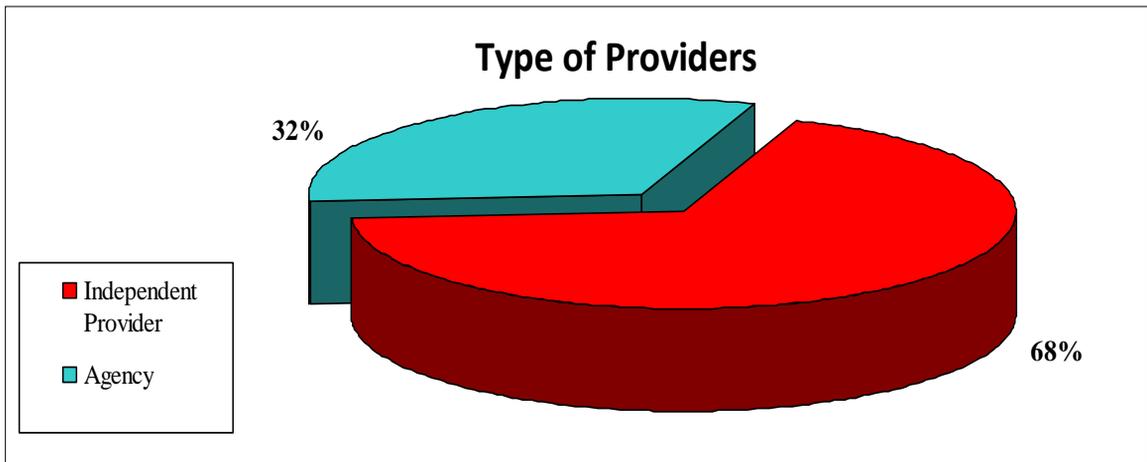
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Compliance Reviews of Community-Based Waiver Services

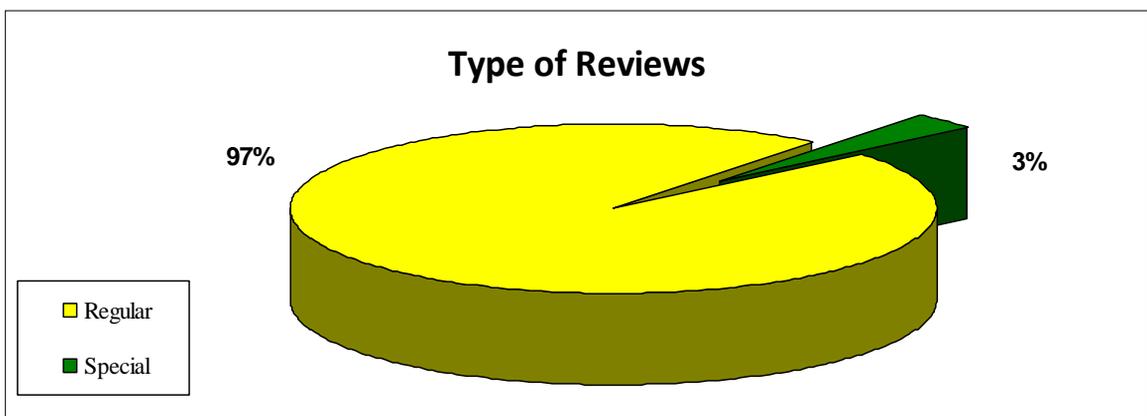
A variety of Home and Community-Based Services (HCBS) are provided in the homes and family homes of waiver recipients. Certified HCBS Waiver Providers deliver Homemaker Personal Care, Transportation, Adult Day Services and ancillary services such as Environmental Modifications, Social Work, Nutrition and Adaptive Equipment.

Compliance reviews for HCBS providers are conducted by the Ohio Department of Developmental Disabilities and by the County Boards of Developmental Disabilities. Ohio Administrative Code 5123:2-9-08 requires certified HCBS providers to be reviewed once during the period of certification to ensure compliance with continuing certification standards of the services provided.

In 2012, the department conducted **1,134 regular and special compliance reviews** of both Agency and Independent Providers in non-licensed settings. In 2012, there were 414 newly certified providers subject to review within the year after first billing. Of that total, 379 were reviewed, of which 99% were conducted within the year. Thirty-seven providers were revoked or relinquished their certification.



Agency Reviews	359
Independent Provider Reviews	<u>775</u>
Total Reviews	1,134

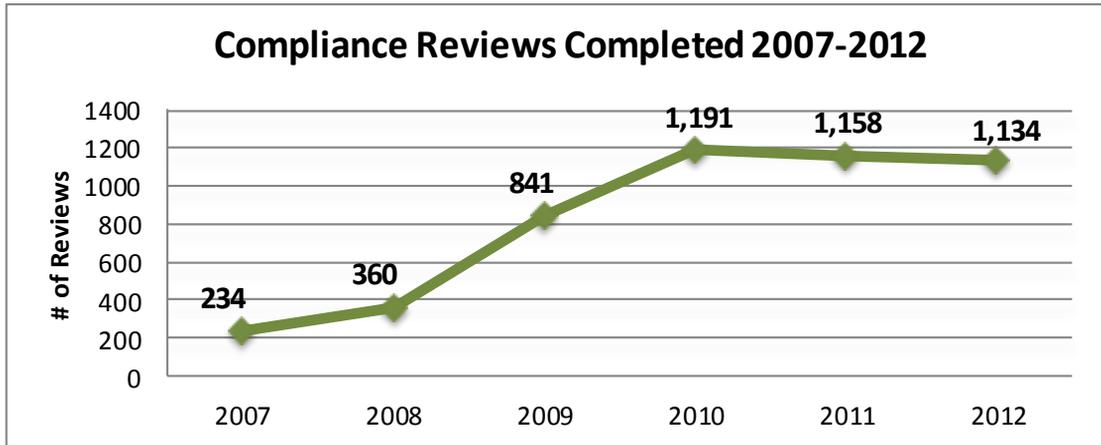


Regular Reviews	1,095
Special Reviews	<u>39</u>
Total Reviews	1,134

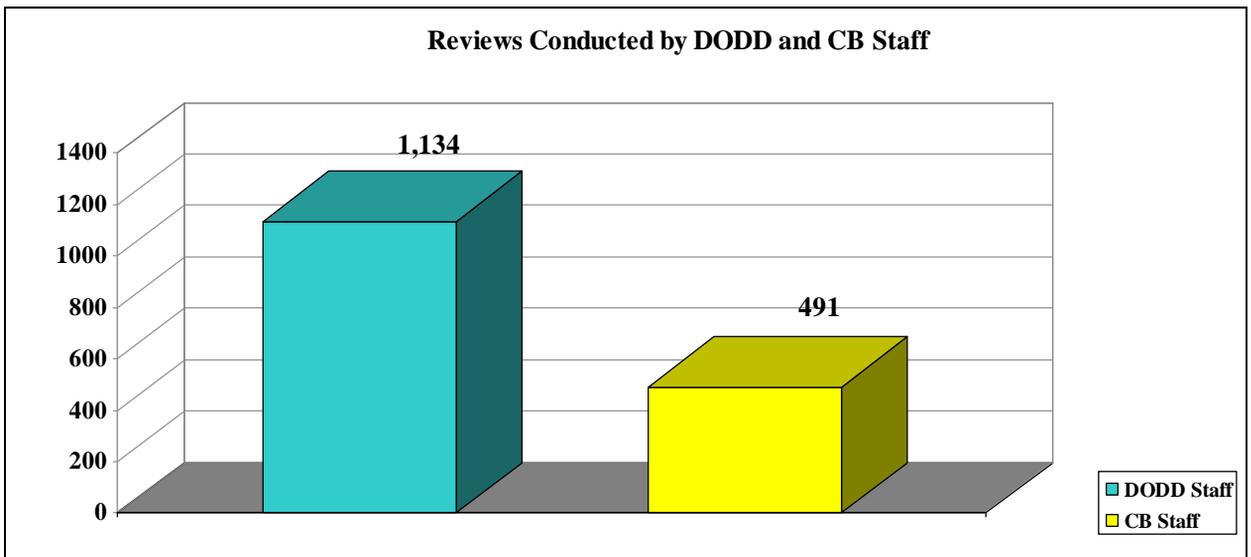
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Compliance Reviews of Community-Based Waiver Services

The chart below highlights the increase in the number of compliance reviews community-based waiver services from 2007 through 2012.



In addition to the 1,134 reviews conducted by DODD review staff, there were 491 Provider Compliance reviews conducted by County Board staff around the state in 2012. DODD shares the responsibility for conducting compliance reviews of certified waiver providers with the county boards. DODD is also required to train all county board reviewers before they conduct compliance reviews. During the beginning of 2012, DODD trained county board reviewers on the new compliance review process and updated compliance tools, with the expectation that the new process would be used for 2012 reviews. The outcomes of the compliance reviews conducted by county boards during 2012 were comparable to the results of reviews conducted by DODD.



Reviews conducted by DODD staff	1,134
Reviews conducted by CB staff	<u>491</u>
Total	1,625

Adult Day Services

Adult Day Service includes Adult Day Support, Vocational Habilitation, Supported Employment and Non-Medical Transportation. These services have provided individuals in Ohio with greater options for day services and employment; the opportunities and creative service ideas continue to grow. Adult Day Service providers are reviewed by OPSR staff only.

In 2012, OPSR conducted 1,134 reviews of both Agency and Independent Providers in non-licensed settings. Of that total, 43 included a review of providers who **exclusively** deliver Day Services. Issues discovered during reviews of Adult Day Services in 2012 identified the following trends:

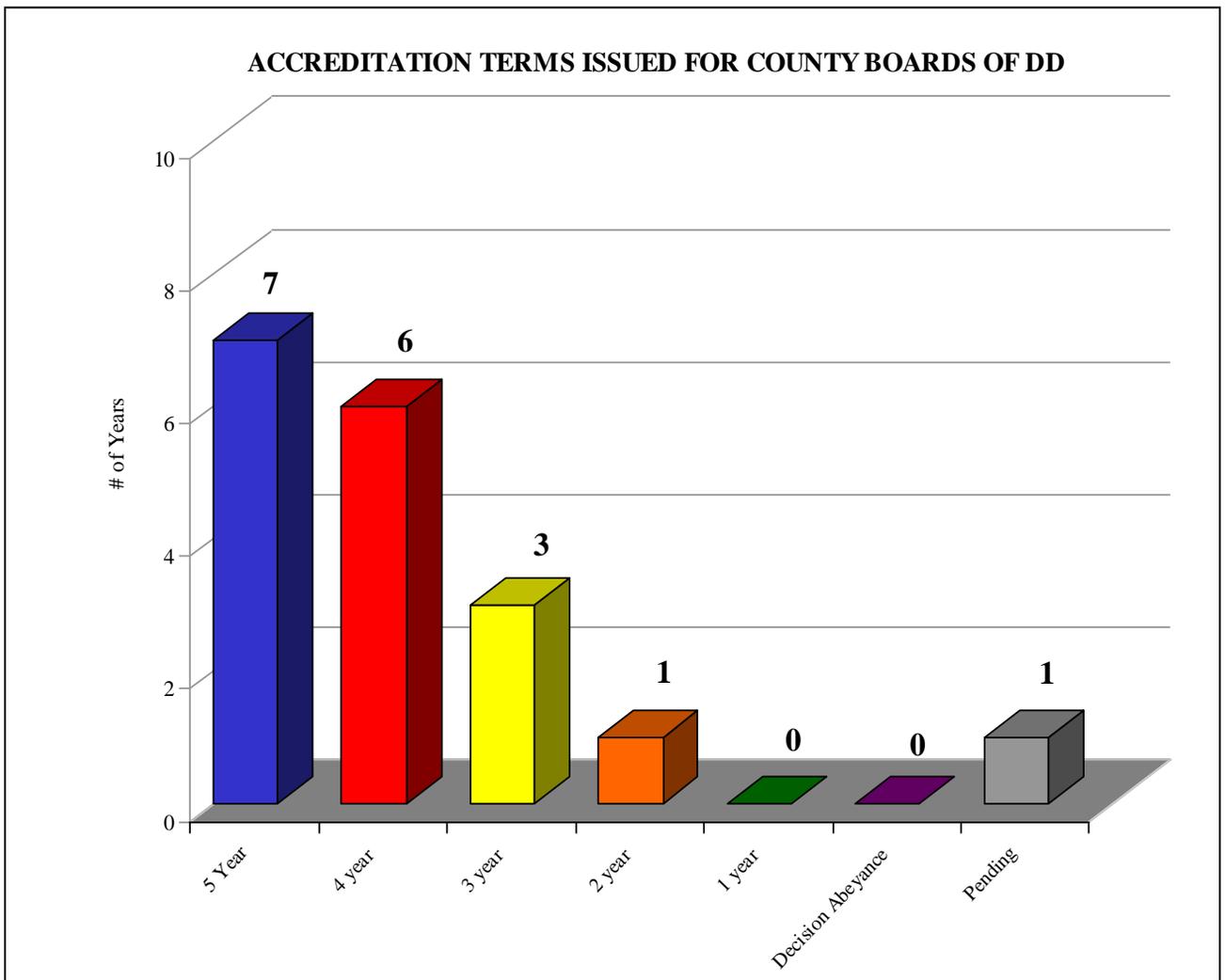
- Service plan does not clearly identify service needs, supervision levels or outcomes
- Physical Environment

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Compliance Reviews of County Boards of DD

County Boards of DD – the County Boards have administrative oversight responsibilities for the delivery of services to individuals with disabilities in each county of the state. In addition to administrative oversight, a County Board of DD may also be a certified HCBS waiver provider of adult day services to individuals with developmental disabilities within the county. The administrative oversight of the delivery of services is reviewed for all County Boards of DD and for those County Boards which are also certified as an HCBS waiver provider. The County Board undergoes the same review process as any certified provider of waiver services when providing Home and Community-Based Services (HCBS) services.

Eighteen counties were reviewed in 2012. The results of those surveys are as follows:



ADVERSE OUTCOMES

Medicaid Fraud

The Ohio Department of Developmental Disabilities is committed to identifying and eliminating fraud. We view it as our collective responsibility to safeguard the limited resources available to Ohio Medicaid recipients. Providers are responsible for ensuring that Medicaid billings are accurate and that they are only billing for services for which they are authorized through the Individual Service Plan (ISP) and have provided. The County Boards and Councils of Government (COGs) provide local oversight and monitoring to ensure services are provided in accordance with the plan. Additional oversight is provided by the Department. The Department receives referral information regarding possible fraudulent activity and presents it to the Office of the Attorney General through bi-weekly Medicaid Fraud Control Unit Meetings.

In 2012, seven DODD certified providers were convicted of Medicaid fraud, which matches the previous year's number. Additionally, DODD made nine referrals to the Attorney General's Office for possible fraudulent activities. A conviction of Medicaid fraud results in revocation of the provider's certification and/or license.

Suspension, Revocation and Abeyance

The Department of Developmental Disabilities is committed to educating providers of the standards that must be followed and assisting them in maintaining compliance with rules through technical assistance and support. However, in some cases when good cause exists, the Department may initiate sanctions against a provider. Suspension or revocation proceedings of a provider's certification(s) or license(s) may be initiated if the Department finds one or more of the following:

- Substantial violation(s) of applicable requirements when violation(s) present a risk to an individual's health and welfare
- A pattern of non-compliance with either plans of compliance that have been accepted by the county board or those plans of compliance that the department has approved in accordance with this rule
- A pattern of continuing non-compliance with applicable requirements
- A licensed provider has had their license revoked by the licensing authority
- Other good cause, including misfeasance, malfeasance, nonfeasance, confirmed abuse or neglect, financial irresponsibility, or other conduct the director determines is injurious to individuals being served
- Placement on the Abuser Registry
- Conviction of Medicaid Fraud

AGENCY SANCTIONS – JANUARY 1 – DECEMBER 31, 2012

The following charts identify sanctions imposed on **Agency Providers** (for both *licensed settings* and providers of waiver services in *non-licensed settings*), as well as **Independent Waiver Providers**. They are separated by ‘Suspension Issued Only’, ‘Suspension & Revocation Issued’ and ‘Revocation Issued Only’ for both groups.

ONLY SUSPENSION ISSUED

<u>Provider</u>	<u>Suspension Imposed</u>	<u>Suspension Lifted</u>
<i>The following Agency Suspensions were <u>resolved</u> in 2012 - total: 16</i>		
McKinney Homes, Inc.	10/11/11	05/15/12
New Hope and Horizons	11/14/11	02/23/12
Creative Living Solutions	12/06/11	02/03/12
Ford Care Services	12/20/11	02/27/12
Hanson Services, Inc.	02/23/12	05/04/12
Evant, Inc.	03/15/12	07/09/12
BXN Health Services, LLC	03/29/12	06/26/12
Caregivers for Independence	04/03/12	05/03/12
Wilbdone, LLC	04/04/12	06/27/12
Care Designed for You	04/23/12	06/28/12
The Good Shepherd Home Care, LLC	04/30/12	08/02/12
Dobb, Inc.	05/17/12	09/14/12
Speedy Medical Transportation, Inc.	07/05/12	11/09/12
Meda-Care Transportation, Inc.	07/17/12	08/01/12
Josiah's House, Inc.	07/26/12	08/31/12
Elves Among Us, LLC	11/15/12	12/20/12
<i>The following Agency Suspensions were <u>pending</u> as of 12/31/12 - total: 3</i>		
Mary Mcleod-Bethune Intervention & Enrichment Center	05/30/12	
Direct Support Professionals of Ohio, LLC	10/25/12	
Choices and Goals, Inc.	12/26/12	

SUSPENSION & REVOCATION INITIATED

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Relinquished Certifications</u>
<i>The following Independent Provider <u>relinquished</u> their Certifications in 2012 - total: 3</i>		
Granger Home #1	5/3/10 / 5/10/11	03/31/12
Granger Home #2	5/3/10 / 5/10/11	03/31/12
Gary Granger Agency	05/10/11	03/31/12

AGENCY SANCTIONS – JANUARY 1 – DECEMBER 31, 2012

SUSPENSION & REVOCATION INITIATED *(continued)*

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Suspension Lifted</u>	<u>Revocation Withdrawn</u>
<i>The following Agency Certification Suspensions & Revocations were <u>lifted</u> in 2012 - total: 2</i>			
Inter Responsive in Situ - IRIS I	02/23/11	02/29/12	04/07/11
Developing Angels	2/21/12 / 4/10/12	07/11/12	07/11/12

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Reason Sanctions Discontinued</u>
<i>The following Agency Certification Suspensions & Revocations were <u>discontinued</u> in 2012 - total: 4</i>		
High Hopes Home #3	3/24/2010 / 6/27/11	Facility closed
High Hopes Home #4	3/24/2010 / 6/27/11	Facility closed
High Hopes Home #5	3/24/2010 / 6/27/11	Facility closed
MKW Respite Connections, Inc.	11/14/11 / 02/08/12	Certifications Expired

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Revocation Adjudicated</u>
<i>The following Agency Suspensions & Revocations were <u>adjudicated</u> in 2012 - total: 8</i>		
Victory Day Hab	07/27/10	03/02/12
Caring Hands of Stark	05/03/11	03/01/12
Flanders Group Home	06/22/11	02/10/12
Lawrence Loving Hands Staffing Agency, Inc. & Lawrence Day Hab	07/05/11	07/05/12
D&R Home Medical Supply, LLC	04/17/12	07/05/12
Home Living Assisted Services, Inc.	04/17/12	09/10/12
Devine Health, LLC	06/25/12	11/27/12
Sawgrass Building Co., LLC	08/03/12	11/27/12
<i>The following Agency Suspensions & Revocations were <u>pending</u> as of 12/31/12 - total: 7</i>		
Tracy's Creative Solutions, LLC	08/16/12	
Higher Visions, LLC	08/29/12	
Potter Family Home	09/06/12 / 12/06/12	
Cherish Life, Inc.	10/11/12	
Complete Home Health Services, Inc.	10/22/12	
Shelby's Guardian Angels	12/26/12	
Your Independence, Inc.	12/31/12	

INDEPENDENT PROVIDER SANCTIONS – JANUARY 1 – DECEMBER 31, 2012

SUSPENSION ISSUED ONLY

<u>Provider</u>	<u>Suspension Imposed</u>	<u>Suspension Lifted</u>
<i>The following Independent Provider Suspensions were <u>lifted</u> as of 12/31/12 - total: 3</i>		
Beverly Moon	03/15/12	03/27/12
Abram Bridges	04/16/12	07/02/12
Deborah Sims	06/12/12	08/16/12
<i>The following Independent Provider <u>relinquished</u> their Certifications in 2012 - total: 1</i>		
Russell Hollar	03/21/12	03/27/12
<i>The following Independent Provider Suspensions were <u>pending</u> as of 12/31/12 - total: 4</i>		
Derek Mares	03/05/12	
Tracy Erhold	10/11/12	
Angela Edge	11/08/12	
Lisa Burch	11/08/12	

SUSPENSIONS & REVOCATIONS INITIATED

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Suspension Lifted/Revocation Withdrawn</u>
<i>The following Independent Provider Sanctions were <u>resolved</u> in 2012 - total: 2</i>		
Marcia Patton	06/13/11	02/03/12
Cheryl Wallace	07/17/12	09/04/12

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Relinquished Certifications</u>
<i>The following Independent Provider <u>relinquished</u> their Certifications in 2012 - total: 2</i>		
Mickey Harris	07/08/11	08/12/12
Patricia Perry	09/07/12	10/12/12

<u>Provider</u>	<u>Suspension/Revocation Imposed</u>	<u>Certifications Revoked</u>
<i>The following Independent Provider Suspensions & Revocations were <u>adjudicated</u> in 2012 - total: 20</i>		
Demetrius Boyd	5/9/11 / 9/1/11	05/16/12
Christina Albus	07/15/11	04/04/12
Christopher Sanders	08/25/11	05/16/12
Christina Douglas	11/01/11	04/04/12
Zachary McDavid	12/06/11	05/16/12
Courtney Johnson	04/02/12	10/04/12
Shari Mexico	04/02/12	07/02/12
Samantha Siemer	04/17/12	07/02/12
Tiffany Walker	04/17/12	07/02/12
Lori Dansingburg	04/19/12	09/10/12
Marcus Long	04/26/12	11/27/12
Tammi McClary	04/27/12	09/10/12

INDEPENDENT PROVIDER SANCTIONS – JANUARY 1 – DECEMBER 31, 2012

SUSPENSIONS & REVOCATIONS INITIATED (continued)

<u>Provider</u>	Suspension/Revocation	Certifications
	<u>Imposed</u>	<u>Revoked</u>
Julie Swords	05/17/12	09/10/12
Tammy Clarkston	05/30/12	09/12/12
Barbara Davis	06/25/12	11/27/12
Connie Gilleland	08/03/12	11/27/12
Lynette Dancy	08/03/12	11/27/12
Kristina Graves	08/03/12	11/27/12
Arquese Boone	09/10/12	11/27/12
Beverly Flowers	09/10/12	11/27/12

The following Independent Provider Suspensions & Revocations were pending as of 12/31/12 - total: 20

Hervie Jackson	6/13/11 / 3/29/12	
Kris Snyder	03/05/12	
Carrie Boonie	3/21/12 / 08/03/12	
Valinda Hanson-Baker	06/12/12	
Bettye Sanders	06/12/12	
Linda Kennedy	06/13/12	
Anythony Cooper	06/28/12	
Shannon Laszlo	08/22/12	
Chantel Stone Vanscoy	09/10/12	
Christina Smith	09/10/12	
Jozelia Grace	09/10/12	
Carrie Taylor	09/18/12	
Penny Duckwiler	09/18/12	
Theresa Leeth	09/18/12	
Joann Isaac	09/18/12	
David Erhold	10/11/12	
Mary Dortch	10/22/12	
Beverly Moon	11/08/12	
Shannon Magill	11/08/12	
Grace Mason	12/28/12	

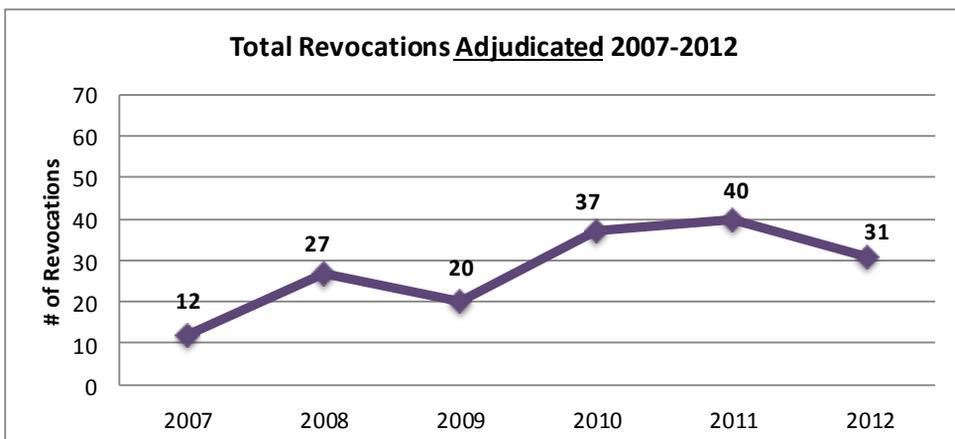
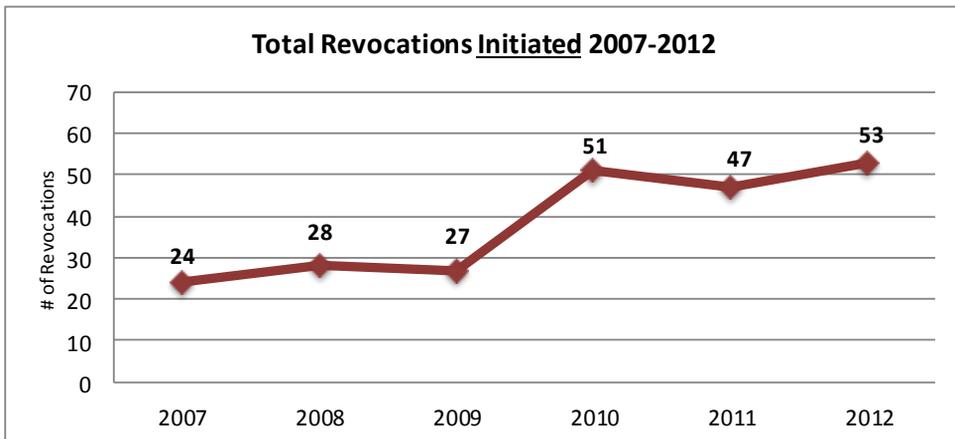
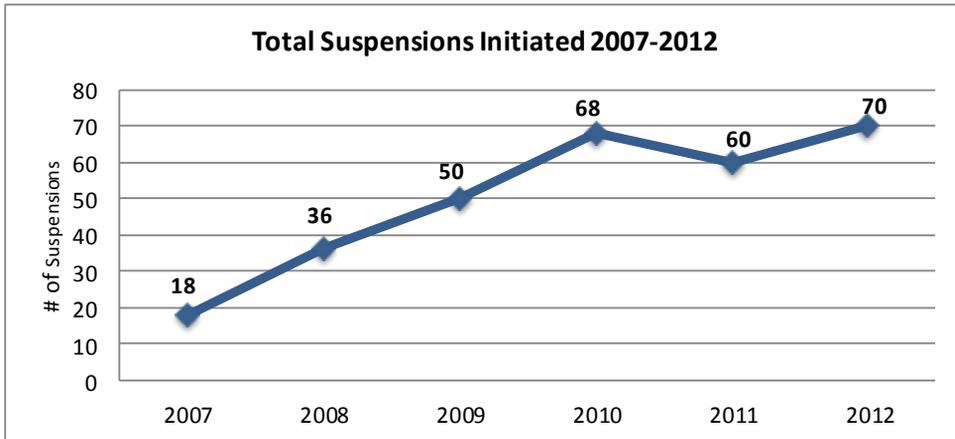
CERTIFICATE REVOCATIONS

The following Certificates were revoked in 2012 - total: 3

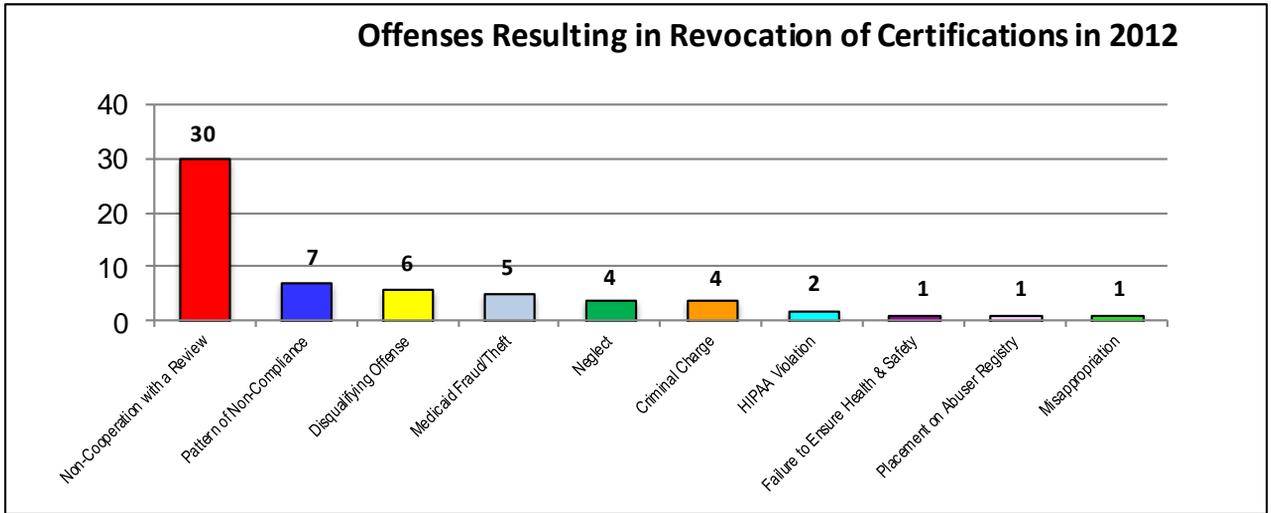
SSA Certificates	1	
Adult Services Certificates	2	

Comparable Sanction Data 2007 - 2012

In 2012, OPSR *initiated* 71 suspensions of certifications and/or licenses and 54 revocation notifications. Thirty-one revocations were *adjudicated*. The charts below show the sanction statistics over the past six years.



Offenses Resulting in Sanctions in 2012



Non-Cooperation with a Review	30
Pattern of Non-Compliance	7
Disqualifying Offense	6
Medicaid Fraud/Theft	5
Neglect	4
Criminal Charge	4
HIPAA Violation	2
Failure to Ensure Health & Safety	1
Placement on Abuser Registry	1
Misappropriation	1
TOTAL	61*

** Eight providers were issued revocation notices due to multiple offenses*