

Standards for Conducting Non-Protocol Investigations

Non-Protocol Investigation Categories

Non-protocol investigations shall be conducted for the following MUIs:

1. Attempted suicide
2. Non-suspicious or natural death
3. Missing individual
4. Known injury
5. Unknown injury
6. Law enforcement
7. Medical emergency
8. Unapproved behavior support
9. Unscheduled hospitalization

Steps in Conducting a Non-Protocol Investigation

1. Determine that the MUI is properly coded.
2. Review relevant documents, which may include recent medical history, individual service plan, progress notes, nursing notes, hospital records, police report, and behavior support documentation.
3. Interview witnesses as necessary to determine the cause or resolve conflicting information.
4. Interview others with relevant information as necessary.
5. Maintain a summary of each interview conducted.
6. Identify the cause(s) and any contributing factors to the incident.
7. Review past related incidents as appropriate, including but not limited to, prior immediate health and safety measures taken and other preventive measures.
8. Verify that the preventive measures have been implemented.
9. In the case of a death, complete the required questions as specified by the department.